

# Tsunamis

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## Main Properties of Tsunamis

- Gravity waves in oceans with long periods between about 100 s and 10,000 s.
- Tsunamis propagate at high velocities in deep water.
- Mainly horizontal particle motion involving the entire water column down to the ocean floor.



Rather small dissipation of energy.



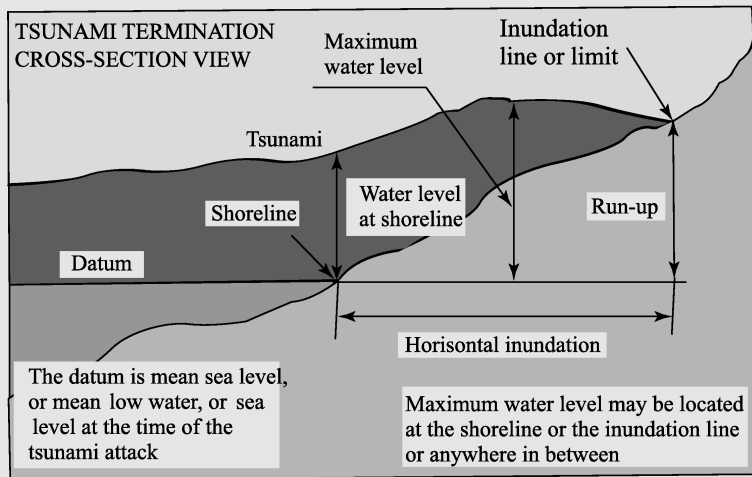
Tsunamis travel over large distances.

- Wave height increases with decreasing ocean depth.



Tsunamis may reach large wave heights at the coast.

## Basic Terms

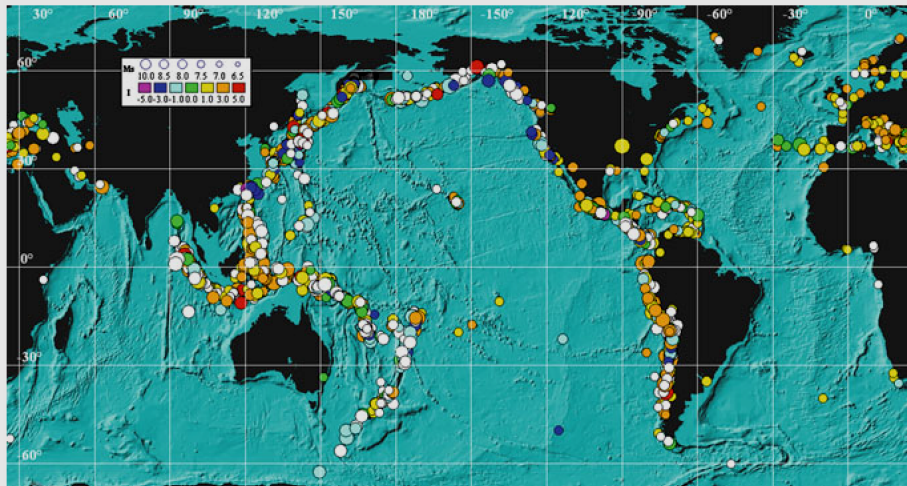


Source: Levin & Nosov, Physics of Tsunamis

## Main Sources of Tsunamis

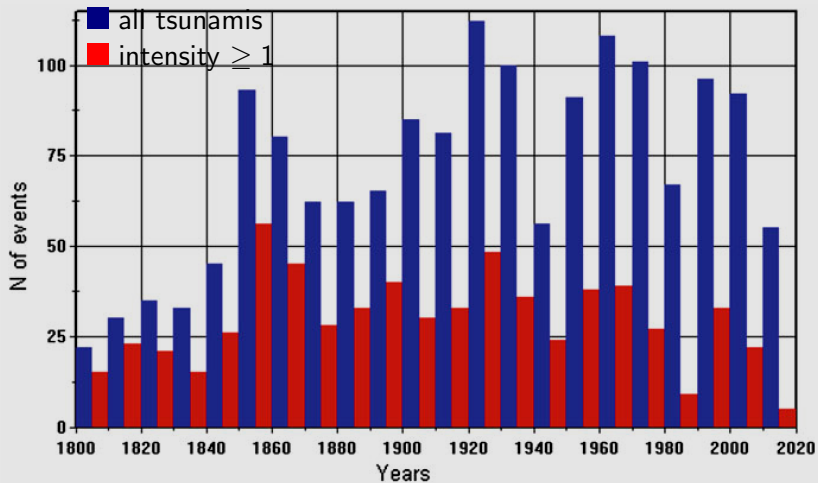
- Earthquakes (more than 90 % of all tsunamis)
- Landslides
- Volcanic eruptions
- Meteorite impact (rare)

## Worldwide Distribution of Tsunami Sources from 2000 B.C. to 2014



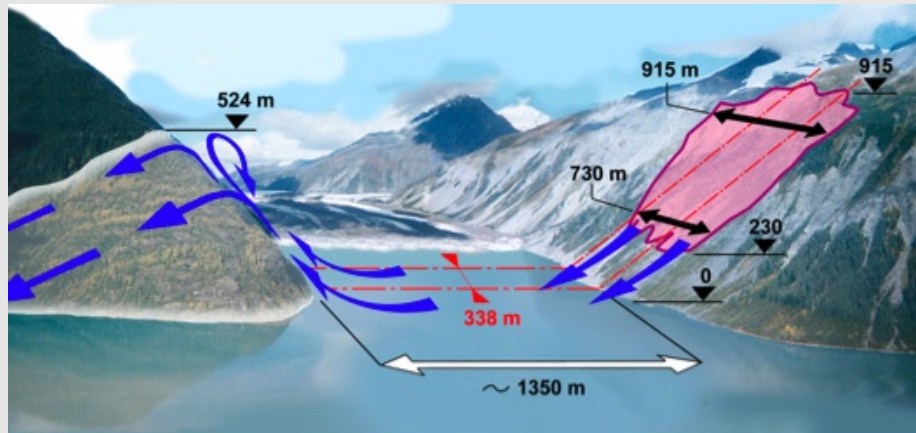
Source: Levin & Nosov, Physics of Tsunamis

## Worldwide Number of Tsunamis per Decade



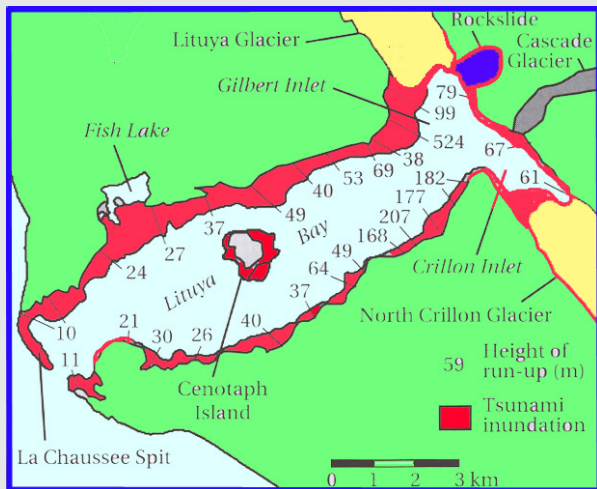
Source: Levin & Nosov, Physics of Tsunamis

## The Tallest Tsunami Known so far: Lituya Bay, 1958



Source: Pararas-Carayannis, The Mega-Tsunami of July 9, 1958 in Lituya Bay, Alaska

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## The Tallest Tsunamis 2000–2014

Date	Location	$M_W$	$H_{\max}$ [m]	Death toll
11.03.2011	Japan	9.0	56	18,482
24.12.2004	Indonesia, Sumatra	9.1	51	227,899
27.02.2010	Chile	8.8	29	156
29.09.2009	Samoa	8.1	22	192
15.11.2006	Russia, Kuril Islands	8.3	22	0
17.07.2006	Indonesia, South of Java	7.7	21	802
25.10.2010	Indonesia, Sumatra	7.8	17	431

## Types of Intensity and Magnitude Scales

Three different types of scales:

- Intensity scales characterizing the effect of a tsunami on humans and their structures (Sieberg-Ambraseys scale, Papadopoulos-Imamura scale).
- Intensity scales based on measurements of wave height at the coast (Imamura-Iida scale, Soloviev-Imamura scale).
- Magnitude scales characterizing the strength of a tsunami independent of distance between source and coast and the shape of the coast (Abe-Hatori scale, Murty-Loomis scale).

## The Sieberg-Ambraseys Scale

- Originally introduced by A. H. Sieberg (1927), modified by N. N. Ambraseys (1962).
- Six-point scale from 1 = very light to 6 = disastrous.

## The Papadopoulos-Imamura Scale

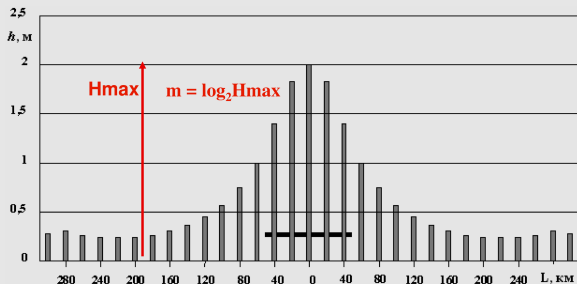
- Introduced by G. A. Papadopoulos and F. Imamura (2001).
- 12-point scale in analogy to the Mercalli scale for earthquakes from I = not felt to XII = destructive.

## The Imamura-Iida Scale

- Introduced by A. Imamura (1942), modified by K. Iida (1956).
- Defined as

$$m = \log_2 H_{\max} \quad (1)$$

where  $H_{\max}$  is the maximum wave height.



Source: Gusiakov, Tsunami Quantification

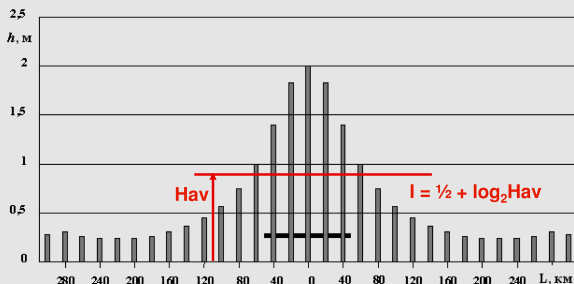
- Originally termed magnitude.

## The Soloviev-Imamura Scale

- Modification of the Imamura-Iida scale by S. Soloviev (1972).
- Defined as

$$I = \frac{1}{2} + \log_2 H_{av} \quad (2)$$

where  $H_{av}$  is the average wave height along the nearest coast.



Source: Gusiakov, Tsunami Quantification

- Widely used in many tsunami catalogs.

## The Abe-Hatori Scale

- Introduced in 1979 by K. Abe.
- First attempt to define a tsunami magnitude taking into account the distance from the source:

$$M_t = a \log_{10} H_{\max} + b \log_{10} \Delta + D \quad (3)$$

where

$H_{\max}$  = maximum wave amplitude at the coast

$\Delta$  = distance

$a, b, D$  = constants

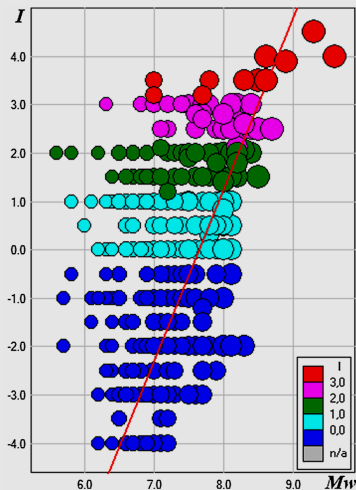
## The Murty-Loomis Scale

- Introduced in 1980 by T. S. Murty and H. G. Loomis.
- Based on the total potential energy  $E$  (in J here, originally in ergs):

$$ML = 2(\log_{10} E - 12) \quad (4)$$

- Well- defined and
- theoretically a good measure of the strength of a tsunami,
- but suffers from the problem of determining the total potential energy.

## Tsunami Intensity (Soloviev-Imamura) vs. Earthquake Magnitude



Source: Gusiakov, Pure Appl. Geophys, 2015



## Starting Point

Cauchy equations for the displacement  $\vec{u}(\vec{x}, t)$  including gravity:

$$\rho \frac{\partial^2}{\partial t^2} \vec{u} = \operatorname{div}(\boldsymbol{\sigma}) - \rho \mathbf{g} \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} \quad (5)$$

Incompressible and inviscid fluid instead of an elastic medium:

- Volumetric strain for small deformation

$$\epsilon_v = \operatorname{trace}(\boldsymbol{\epsilon}) = \operatorname{div}(\vec{u}) = 0 \quad (6)$$

- Stress tensor

$$\boldsymbol{\sigma} = -p \mathbf{1} \quad (7)$$

with the fluid pressure  $p(\vec{x}, t)$

## Starting Point



$$\rho \frac{\partial^2}{\partial t^2} \vec{u} = -\nabla p - \rho g \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} = -\nabla (p + \rho g x_3) \quad (8)$$

## Harmonic Plane Wave

$$\vec{u}(\vec{x}, t) = e^{i\omega(t - \vec{s} \cdot \vec{x})} \vec{a} = e^{i(\omega t - \vec{k} \cdot \vec{x})} \vec{a} \quad (9)$$

where

$\omega$  = angular frequency [ $\text{s}^{-1}$ ]

$\vec{s}$  = slowness vector [ $\frac{\text{s}}{\text{m}}$ ]

$\vec{k}$  =  $\omega \vec{s}$  = wave number vector [ $\text{m}^{-1}$ ]

$\vec{a}$  = amplitude vector [m]

## Non-Existence of S-Waves

$$\rho \frac{\partial^2}{\partial t^2} \vec{u} = -\rho \omega^2 e^{i(\omega t - \vec{k} \cdot \vec{x})} \vec{a} = -\nabla (p + \rho g x_3) \quad (10)$$



$$\text{curl} \left( \rho \frac{\partial^2}{\partial t^2} \vec{u} \right) = -\rho \omega^2 e^{i(\omega t - \vec{k} \cdot \vec{x})} (-i\vec{k}) \times \vec{a} \quad (11)$$

$$= -\text{curl} (\nabla (p + \rho g x_3)) = 0 \quad (12)$$



$$\vec{k} \times \vec{a} = \vec{0} \quad (13)$$



$\vec{k}$  and  $\vec{a}$  must be parallel; only P-waves are possible.

## Solution for a Harmonic Plane Wave

$$\operatorname{div}(\vec{u}) = e^{i(\omega t - \vec{k} \cdot \vec{x})} (-i\vec{k}) \cdot \vec{a} = 0 \quad (14)$$



$$k_1^2 + k_2^2 + k_3^2 = 0 \quad (15)$$

For a wave propagating in  $x_1$  direction:

$$\vec{k} = \begin{pmatrix} k \\ 0 \\ \pm ik \end{pmatrix} \quad \text{and} \quad \vec{a} = \begin{pmatrix} a \\ 0 \\ \pm ia \end{pmatrix} \quad (16)$$



$$\vec{u}(\vec{x}, t) = e^{i(\omega t - kx_1 \mp ikx_3)} \vec{a} = a e^{\pm kx_3} e^{i(\omega t - kx_1)} \begin{pmatrix} 1 \\ 0 \\ \pm i \end{pmatrix} \quad (17)$$

## Solution for a Harmonic Plane Wave

Consider domain  $x_3 \leq 0$  → Only solution with "+" makes sense.

$$\vec{u}(\vec{x}, t) = a e^{kx_3} e^{i(\omega t - kx_1)} \begin{pmatrix} 1 \\ 0 \\ i \end{pmatrix} \quad (18)$$

- Prograde particle movement on circular orbits
- Depth of penetration

$$d = \frac{1}{k} = \frac{L}{2\pi} \quad (19)$$

with the wavelength  $L = \frac{2\pi}{k}$

## Velocity of Propagation

For solving Eq. 8 write  $\rho \frac{\partial^2}{\partial t^2} \vec{u}$  as

$$\rho \frac{\partial^2}{\partial t^2} \vec{u} = -\rho \omega^2 e^{i(\omega t - \vec{k} \cdot \vec{x})} \vec{a} = -\frac{\rho \omega^2 a}{k} e^{i(\omega t - \vec{k} \cdot \vec{x})} \vec{k} \quad (20)$$

$$= \nabla \left( -\frac{i \rho \omega^2 a}{k} e^{i(\omega t - \vec{k} \cdot \vec{x})} \right) \quad (21)$$



$$\nabla \left( -\frac{i \rho \omega^2 a}{k} e^{i(\omega t - \vec{k} \cdot \vec{x})} + p + \rho g x_3 \right) = \vec{0} \quad (22)$$



$$-\frac{i \rho \omega^2 a}{k} e^{i(\omega t - \vec{k} \cdot \vec{x})} + p + \rho g x_3 = \text{const} \quad (23)$$

## Velocity of Propagation

Free ocean surface with  $p = \text{const}$  at  $x_3 = u_3(x_1, x_2, 0)$



$$-\frac{i\rho\omega^2 a}{k} e^{i(\omega t - kx_1)} + \rho g i a e^{i(\omega t - kx_1)} = \text{const} \quad (24)$$



$$\omega^2 = gk \quad (25)$$

## Velocity of Propagation

Phase Velocity:

$$v_{\text{ph}} = \frac{\omega}{k} = \sqrt{\frac{g}{k}} = \sqrt{\frac{gL}{2\pi}} \quad (26)$$



Strong dispersion

Group Velocity:

$$v_{\text{gr}} = \frac{d\omega}{dk} = \frac{1}{2} \sqrt{\frac{g}{k}} = \frac{1}{2} v_{\text{ph}} \quad (27)$$



## Boundary Condition at the Ocean Floor

Consider domain  $-H \leq x_3 \leq 0$  with a given ocean depth  $H$ .



Solution must meet the condition  $u_3(x_1, x_2, -H, t) = 0$ .



Versions with  $+$  and  $-$  in Eq. 17 must be superposed:

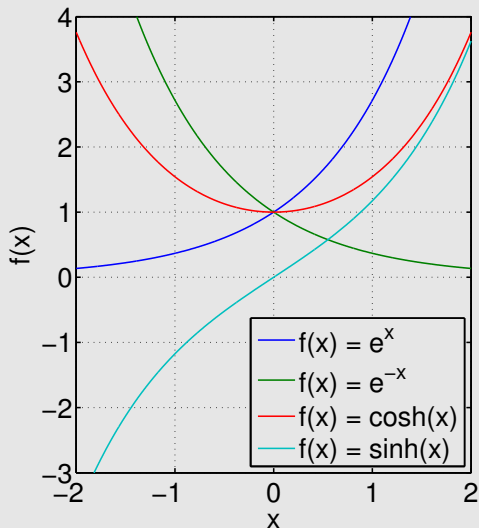
$$\vec{u}(\vec{x}, t) = a_+ e^{kx_3} e^{i(\omega t - kx_1)} \begin{pmatrix} 1 \\ 0 \\ i \end{pmatrix} + a_- e^{-kx_3} e^{i(\omega t - kx_1)} \begin{pmatrix} 1 \\ 0 \\ -i \end{pmatrix} \quad (28)$$

$$= \frac{a e^{i(\omega t - kx_1)}}{e^{kH} + e^{-kH}} \left( e^{k(x_3 + H)} \begin{pmatrix} 1 \\ 0 \\ i \end{pmatrix} + e^{-k(x_3 + H)} \begin{pmatrix} 1 \\ 0 \\ -i \end{pmatrix} \right) \quad (29)$$

## The Hyperbolic Cosine and Sine Functions

$$\cosh(x) = \frac{e^x + e^{-x}}{2} \quad (30)$$

$$\sinh(x) = \frac{e^x - e^{-x}}{2} \quad (31)$$



## Particle displacement of a Harmonic Plane Wave

Particle displacement expressed in terms of  $\cosh(x)$  and  $\sinh(x)$ :

$$\vec{u}(\vec{x}, t) = \frac{a e^{i(\omega t - kx_1)}}{\cosh(kH)} \begin{pmatrix} \cosh(k(x_3 + H)) \\ 0 \\ i \sinh(k(x_3 + H)) \end{pmatrix} \quad (32)$$

- Prograde particle movement on elliptical orbits.
- Orbits are always wider than high; height-to-width ratio:

$$S = \frac{\sinh(k(x_3 + H))}{\cosh(k(x_3 + H))} = \tanh(k(x_3 + H)) \quad (33)$$

- Horizontal amplitude at the surface =  $a$ .

## Particle displacement of a Harmonic Plane Wave

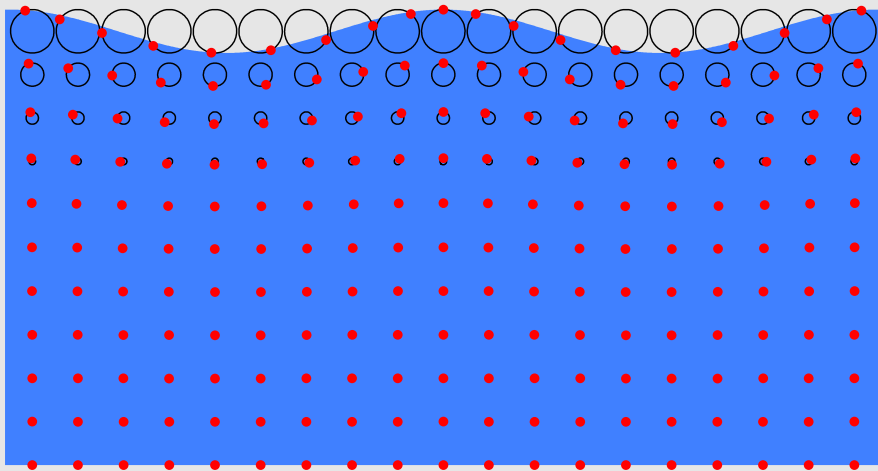
- Wave height (vertical amplitude at the surface):

$$h = a \tanh(kH) \quad (34)$$

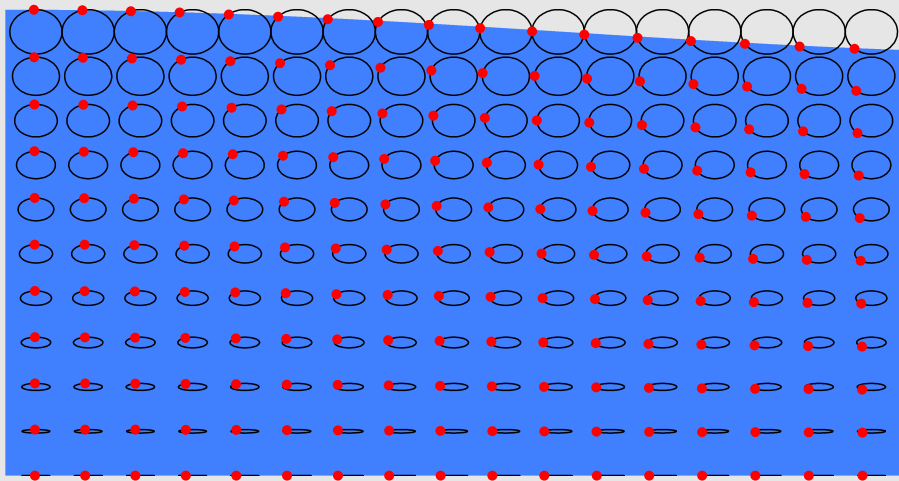
- Orbits are almost circular ( $S \rightarrow 1$ ) for

$$k(x_3 + H) \rightarrow \infty \quad \iff \quad x_3 + H \gg L$$

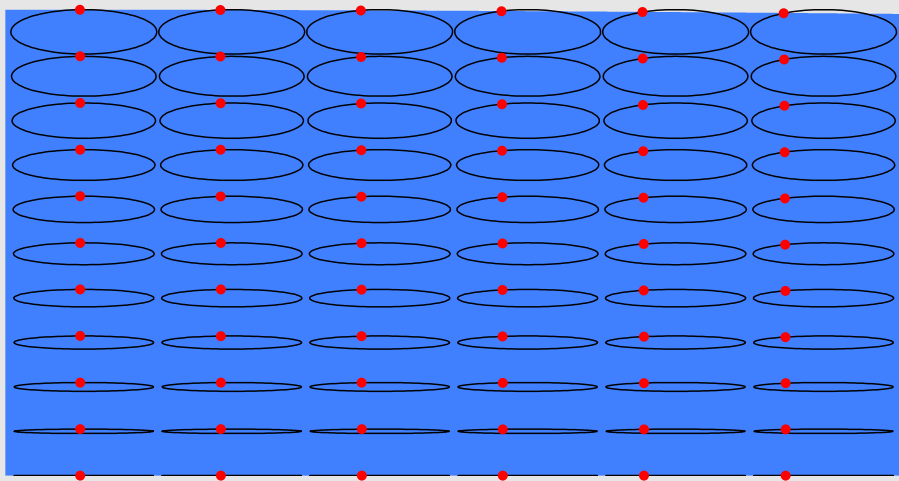
## Particle Orbits for $L/H = 1$



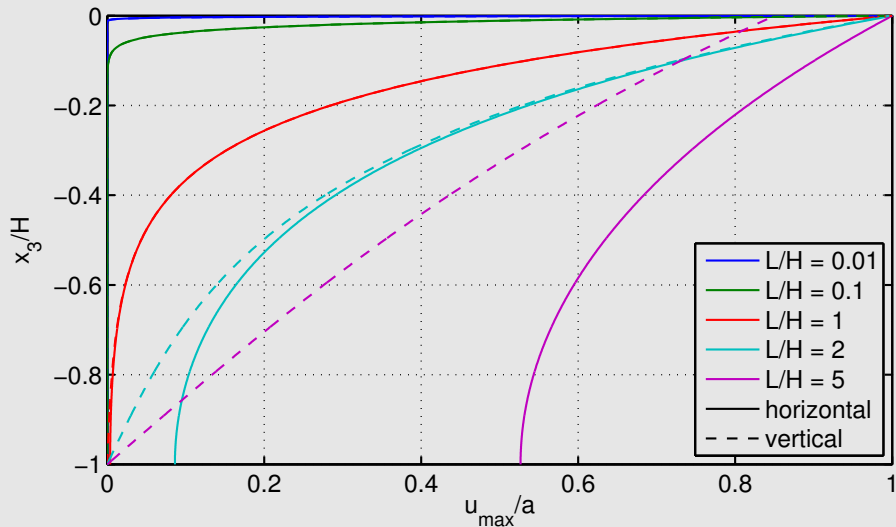
## Particle Orbits for $L/H = 5$



## Particle Orbits for $L/H = 20$

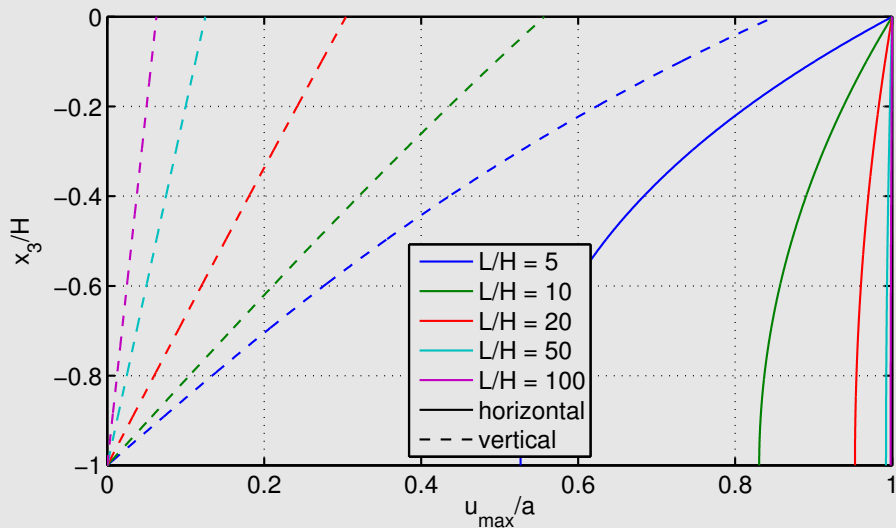


## Maximum Particle Displacement of a Harmonic Plane Wave





## Maximum Particle Displacement of a Harmonic Plane Wave



## Velocity of Propagation

$$\rho \frac{\partial^2}{\partial t^2} \vec{u} = -\frac{\rho \omega^2 a e^{i(\omega t - kx_1)}}{\cosh(kH)} \begin{pmatrix} \cosh(k(x_3 + H)) \\ 0 \\ i \sinh(k(x_3 + H)) \end{pmatrix} \quad (35)$$

$$= \nabla \left( -\frac{i \rho \omega^2 a}{k \cosh(kH)} e^{i(\omega t - kx_1)} \cosh(k(x_3 + H)) \right) \quad (36)$$



$$\nabla \left( -\frac{i \rho \omega^2 a}{k \cosh(kH)} e^{i(\omega t - kx_1)} \cosh(k(x_3 + H)) + p + \rho g x_3 \right) = \vec{0} \quad (37)$$



$$-\frac{i \rho \omega^2 a}{k \cosh(kH)} e^{i(\omega t - kx_1)} \cosh(k(x_3 + H)) + p + \rho g x_3 = \text{const} \quad (38)$$

## Velocity of Propagation

Free ocean surface with  $p = \text{const}$  at  $x_3 = u_3(x_1, x_2, 0)$



$$-\frac{i\rho\omega^2 a}{k} e^{i(\omega t - kx_1)} + \rho g \frac{a e^{i(\omega t - kx_1)}}{\cosh(kH)} i \sinh(kH) = \text{const} \quad (39)$$

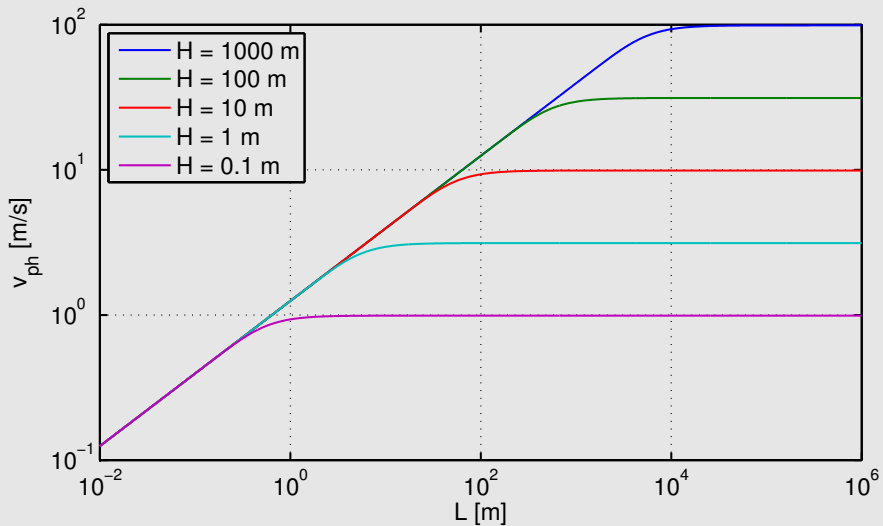


$$\omega^2 = gk \frac{\sinh(kH)}{\cosh(kH)} = gk \tanh(kH) \quad (40)$$

Phase Velocity:

$$v_{\text{ph}} = \frac{\omega}{k} = \sqrt{\frac{g \tanh(kH)}{k}} \quad (41)$$

## Velocity of Propagation



## Velocity of Propagation

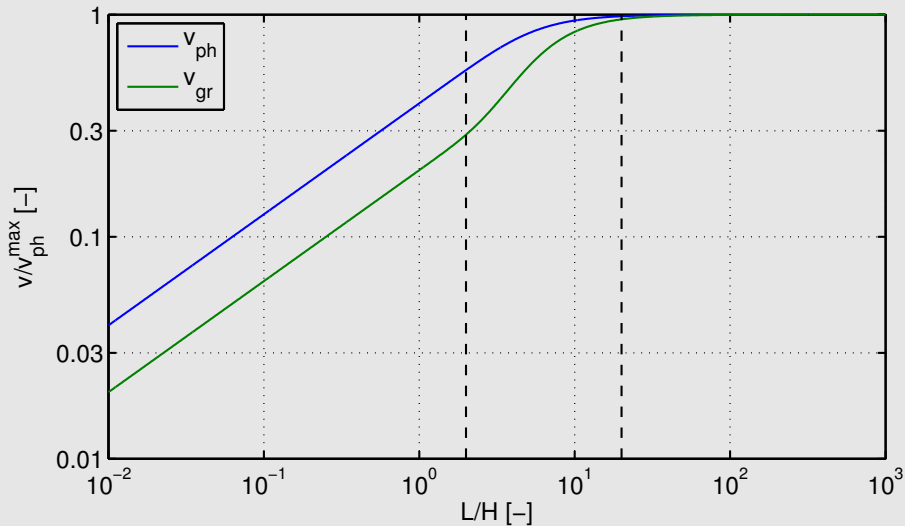
Maximum phase velocity (at long wavelengths,  $kH \rightarrow 0$ ):

$$v_{\text{ph}}^{\text{max}} = \sqrt{gH} \quad (42)$$

Group Velocity:

$$\begin{aligned} v_{\text{gr}} &= \frac{d\omega}{dk} = \frac{g}{2\omega} \left( \tanh(kH) + \frac{kH}{\cosh^2(kH)} \right) \\ &= \frac{1}{2} v_{\text{ph}} \left( 1 + \frac{kH}{\sinh(kH) \cosh(kH)} \right) \end{aligned}$$

## Velocity of Propagation



## Regimes of Ocean Wave Propagation

Deep water regime:  $L/H \leq 2$

- Particles move on almost circular orbits.
- Particle movement is practically limited to a depth less than one wavelength.
- Phase velocity and group velocity depend on the wavelength, but not on ocean depth:

$$v_{\text{ph}} \approx \sqrt{\frac{g}{k}} = \sqrt{\frac{gL}{2\pi}}, \quad v_{\text{gr}} \approx \frac{1}{2} v_{\text{ph}}$$

- Strong dispersion

## Regimes of Ocean Wave Propagation

Shallow water regime:  $L/H \geq 20$

- Particles move on elliptical orbits.
- Horizontal particle movement persists down to the ocean floor.
- Phase velocity and group velocity depend only on ocean depth:

$$v_{\text{ph}} \approx v_{\text{gr}} \approx \sqrt{gH}$$

- No dispersion



## Dispersion

Examples of tsunami wave dispersion in a 4000 m deep ocean (symmetric propagation to the left and to the right):

- bell-shaped (Gaussian) wave
- boxcar-shaped wave
- double boxcar-shaped wave
- step-like wave

## The Fluid Pressure

From Eqs. 38 and 40 with  $p = 0$  at the ocean surface:

$$p(\vec{x}, t) = -\rho g x_3 + \frac{i\rho\omega^2 a}{k \cosh(kH)} e^{i(\omega t - kx_1)} \cosh(k(x_3 + H)) \quad (43)$$

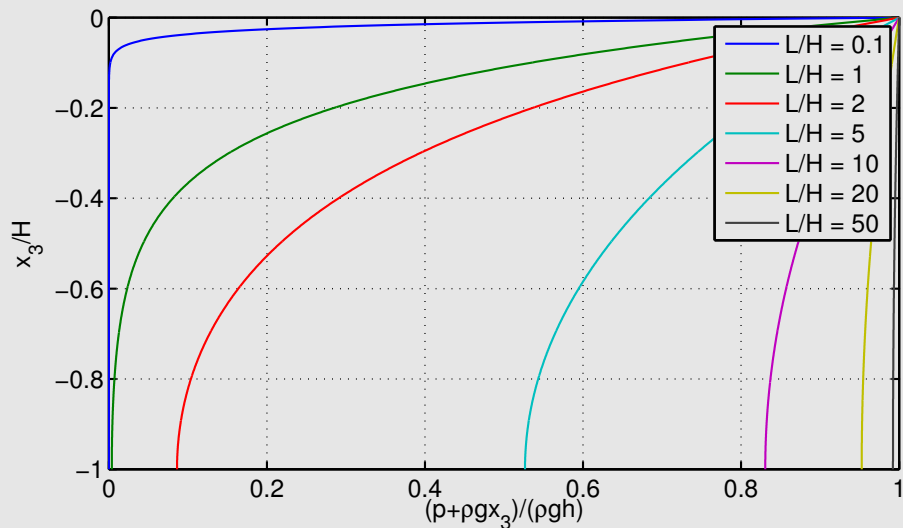
$$= -\rho g x_3 + \frac{i\rho g \tanh(kH) a}{\cosh(kH)} e^{i(\omega t - kx_1)} \cosh(k(x_3 + H)) \quad (44)$$

$$= -\rho g x_3 + \rho g h(x_1, x_2, t) \frac{\cosh(k(x_3 + H))}{\cosh(kH)} \quad (45)$$

with the wave height

$$h(x_1, x_2, t) = u_3(x_1, x_2, 0, t) = a e^{i(\omega t - kx_1)} i \tanh(kH) \quad (46)$$

## The Fluid Pressure



## The Fluid Pressure

Pressure variation at the ocean floor:

$$p(x_1, x_2, -H, t) - \rho g H = \frac{\rho g h(x_1, x_2, t)}{\cosh(kH)} \quad (47)$$

Deep water regime ( $L/H \leq 2$ ):  $< 10\%$  of the near-surface variation

Shallow water regime ( $L/H \geq 20$ ):  $> 95\%$  of the near-surface variation



Most important component of tsunami warning systems beyond earthquake registration.

## The Shallow Water Approximation

Allows for generalization to

- non-harmonic waves and
- non-constant ocean depth (refraction, amplification)

in the limit  $kH \rightarrow 0$ .

Approximations:

- Vertical particle displacement is negligible and is only used for maintaining the incompressibility.
- Horizontal particle displacement depends on  $x_1$  and  $x_2$  only.
- Hydrostatic vertical pressure profile.
- Consider all vectors as a two-component vectors from now on.

## The Shallow Water Approximation

Mass balance:

$$\rho \frac{\partial}{\partial t} h(\vec{x}, t) = -\operatorname{div} \left( \int_{-H}^0 \rho \frac{\partial}{\partial t} \vec{u}(\vec{x}, t) dx_3 \right) \quad (48)$$

$$= -\operatorname{div} \left( H \rho \frac{\partial}{\partial t} \vec{u}(\vec{x}, t) \right) \quad (49)$$

## The Shallow Water Approximation

Cauchy equations for an inviscid fluid (horizontal component only):

$$\rho \frac{\partial^2}{\partial t^2} \vec{u}(\vec{x}, t) = -\nabla p(\vec{x}, t) \quad (50)$$

Hydrostatic pressure distribution with free surface:

$$p(\vec{x}, x_3, t) = -\rho g x_3 + \rho g h(\vec{x}, t) \quad (51)$$



$$\frac{\partial^2}{\partial t^2} \vec{u}(\vec{x}, t) = -g \nabla h(\vec{x}, t) \quad (52)$$

## The Shallow Water Approximation

Insert Eq. 52 into the derivative of Eq. 49:

$$\frac{\partial^2}{\partial t^2} h(\vec{x}, t) = -\operatorname{div} \left( H \frac{\partial^2}{\partial t^2} u(\vec{x}, t) \right) \quad (53)$$

$$= -\operatorname{div} (-gH \nabla h(\vec{x}, t)) \quad (54)$$

$$= \operatorname{div} (v^2 \nabla h(\vec{x}, t)) \quad (55)$$

is a wave equation with the velocity

$$v = \sqrt{gH} \quad (56)$$

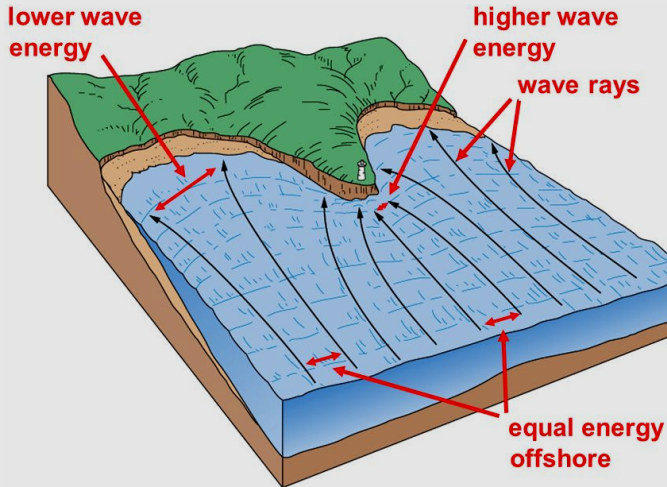
already known for the shallow water regime.



## Wave Propagation at Non-Constant Water Depth

- Reflection and refraction according to Snell's law, similar to seismic waves.
- Only significant in the shallow water regime.
- Small gradual changes in water depth: continuous refraction towards smaller water depth without significant reflection.
- Amplitude?

## Wave Shoaling



Source: Carpenter, Ocean Waves

## Wave Shoaling

Modify the approach considered in assignment 3:

$$h(\vec{x}, t) = f(t - \psi(\vec{x})) a(\vec{x}) \quad (57)$$

Wave equation:

$$\begin{aligned} \frac{\partial^2}{\partial t^2} h &= f'' a = \operatorname{div} (v^2 \nabla h) = \operatorname{div} (v^2 \nabla (fa)) \\ &= f'' v^2 |\nabla \psi|^2 a - f' (\operatorname{div} (v^2 a \nabla \psi) + v^2 \nabla a \cdot \nabla \psi) \\ &\quad + f \operatorname{div} (v^2 \nabla a) \end{aligned} \quad (58)$$



$$v^2 |\nabla \psi|^2 = 1 \quad (59)$$

$$(\operatorname{div} (v^2 a \nabla \psi) + v^2 \nabla a \cdot \nabla \psi) = 0 \quad (60)$$

$$\operatorname{div} (v^2 \nabla a) = 0 \quad (61)$$

## Wave Shoaling

Define

$$\vec{q} = a^2 v^2 \nabla \psi = a^2 \vec{v} \quad (62)$$

where  $\vec{v} = v^2 \nabla \psi$  is the velocity vector in direction of wave propagation.



$$\operatorname{div}(\vec{q}) = a \operatorname{div}(av^2 \nabla \psi) + \nabla a \cdot (av^2 \nabla \psi) \quad (63)$$

$$= a (\operatorname{div}(av^2 \nabla \psi) + \nabla a \cdot v^2 \nabla \psi) \quad (64)$$

$$= 0 \quad (65)$$

according to Eq. 60.