

# Near-Surface Geophysics

Seismology and Seismics

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# Introduction

## Seismology

- Comprises all about earthquakes and the propagation of seismic waves in the Earth.
- One of the main fields of solid-earth geophysics.
- Has provided the majority of our knowledge on Earth's interior.

## Seismics

- Exploration of the deep and shallow subsurface with the help of artificial seismic waves.
- The perhaps most important field of applied geophysics.

<https://www.youtube.com/watch?v=Irn9kqIrn9xQ>



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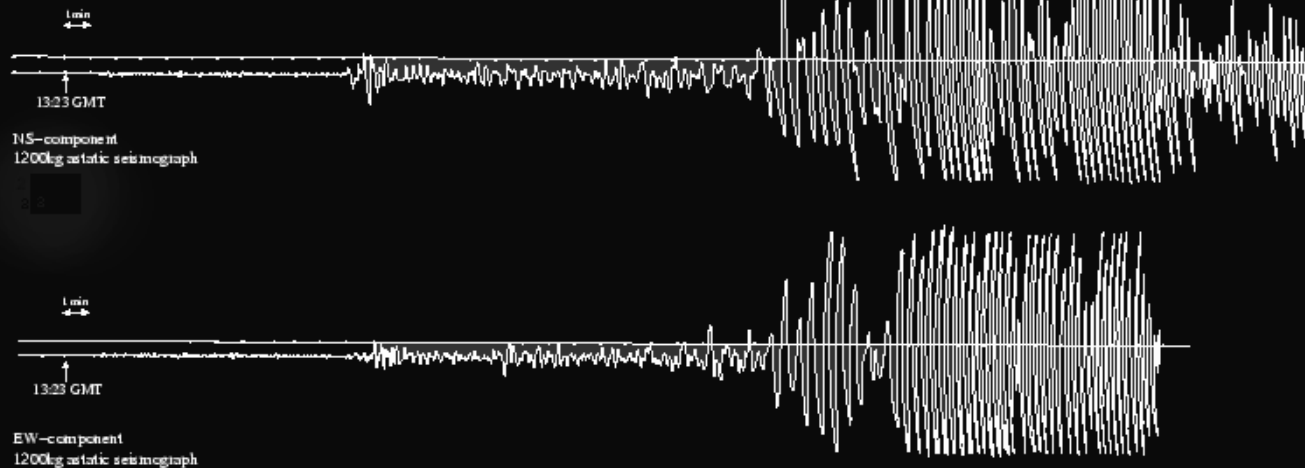


**San Francisco Earthquake, 1906-04-18, 13:12 GMT**  
Latitude 37.7 Longitude -122.5, Magnitude  $M_w = 7.9$



## History of Seismology

San Francisco Earthquake, 1906-04-18, 13:12 GMT  
Latitude 37.7 Longitude -122.5, Magnitude  $M_w = 7.9$



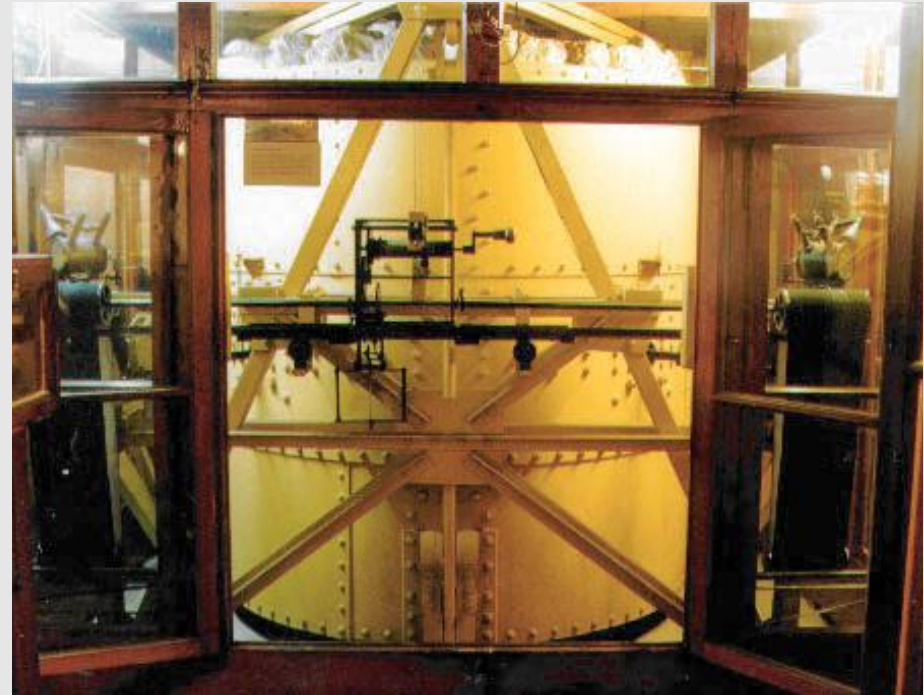
Recording of San  
Francisco earth quake  
1906 registered in  
Göttingen, Germany

# Introduction

## History of Seismology



First "Seismometer": China, Zhang Heng, 132 a.D.

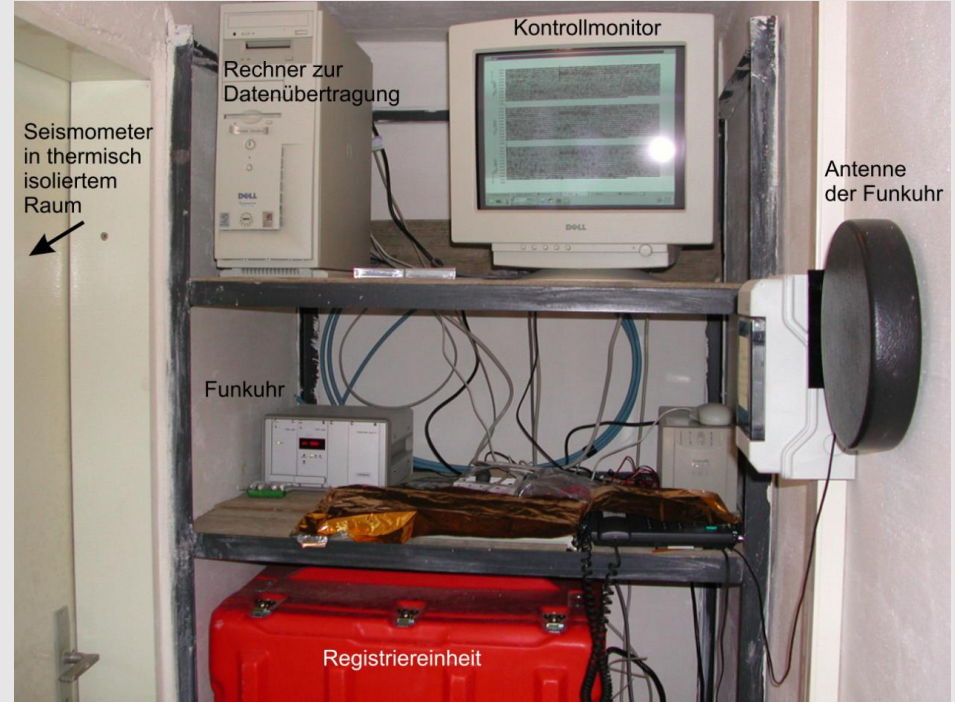


17 t Wiechert pendulum, Uni Göttingen

## History of Seismology



STS-2 Seismometer



Observatory Rüdersdorf:  
<http://www.fu-berlin.de/geophysik/>

## History of Seismology

1660	basic law of elasticity	R. Hooke
1821–22	differential equations of elasticity	C. Navier A. L. Cauchy S. D. Poisson
1830	theory of two fundamental types of elastic waves (P- and S-wave)	S. D. Poisson
1875	First “serious” seismometer	F. Gecchi
1887	theory of the first type of surface waves	J. W. Strutt (3. Lord Rayleigh)
1889	first recording of a distant earthquake	
1892	first compact seismometer, used at about 40 stations	J. Milne
1894	statistics of aftershocks	F. Omori
1903	12 degree scale for the intensity of earthquakes based on the damage	G. Mercalli

## History of Seismology

1906–1913	detection of the liquid core of the earth and determination of its size	R. D. Oldham, B. Gutenberg
1909	detection of the crust-mantle discontinuity	A. Mohorovičić
1911	theory of a second type of surface waves	A. E. H. Love
1935	local magnitude as an “objective” measure of earthquake intensity	C. F. Richter
1936	detection of the inner, solid core	I. Lehmann
1954	frequency-magnitude relation of earthquakes	B. Gutenberg, C. F. Richter
1975	first successful short-term prediction of a strong earthquake	
1977	moment magnitude as a measure of earthquake source strength	H. Kanamori



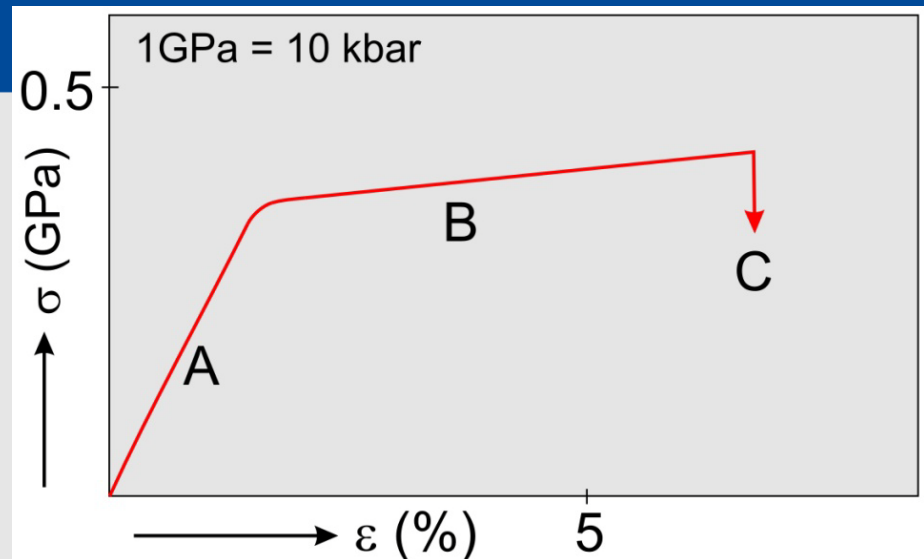
# Seismic Waves

## Waves in Elastic Media


- Propagating elastic deformation
- The same as sound waves in solids
- More complicated than sound waves in liquid and gases

## Elastic modulus

Which section describes linear elasticity?



## Elastic modulus

- Linear elasticity is the mathematical study of how solid objects deform and become internally stressed due to prescribed loading conditions.
- 
- The fundamental assumptions of linear elasticity are: infinitesimal strains or small deformations and linear relationships between the components of stress and strain.
  - In continuum mechanics, the Lamé parameters are two material-dependent quantities denoted by  $\lambda$  and  $\mu$  that arise in strain-stress relationships.
    - $\lambda$ : Lamé's first parameter
    - $\mu$ : shear modulus (ratio of shear stress to the shear strain)

## Elastic modulus

Hooke's Law

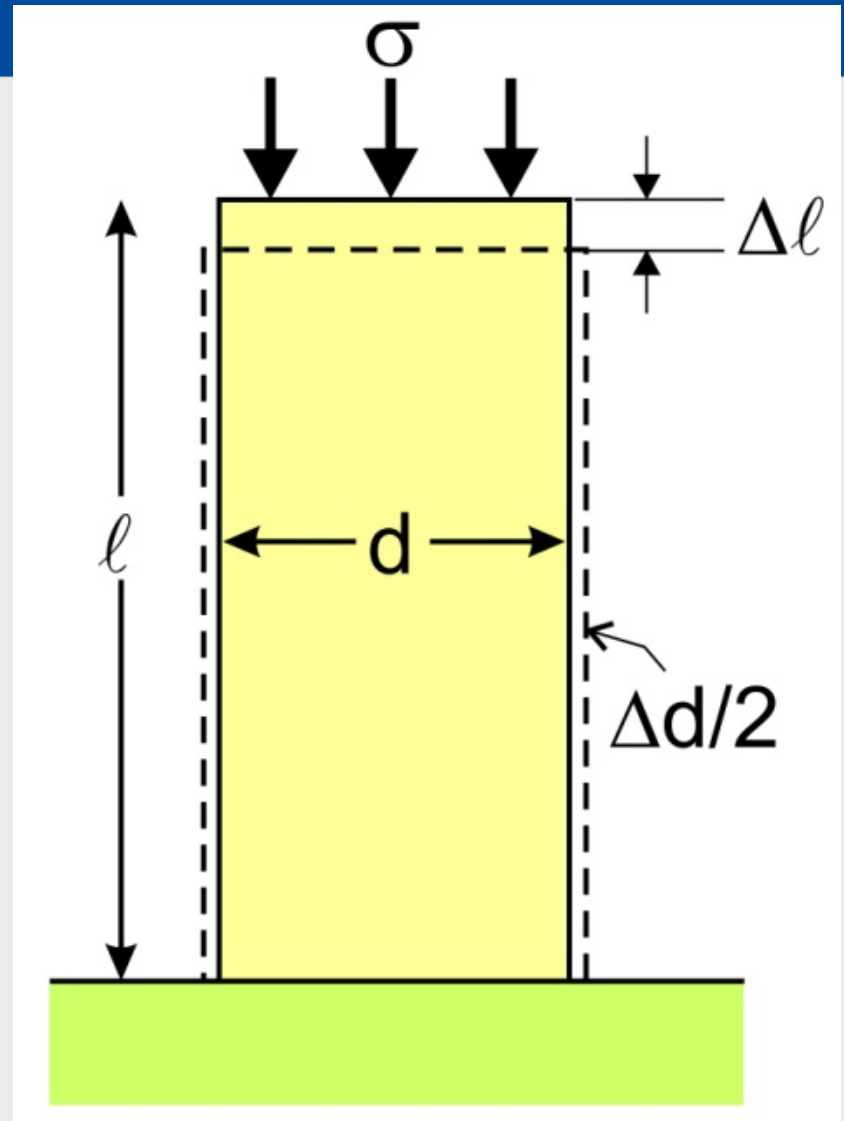
$$\varepsilon = \frac{1}{E} \sigma$$

Poisson's ratio

$$\nu = \frac{\Delta d/d}{\Delta l/l}$$

- Elastic modulus measures an object or substance's resistance to being deformed elastically
- The elastic modulus of an object is defined as the slope of its stress-strain curve in the elastic deformation region:

$$\lambda = \frac{\text{stress}}{\text{strain}}$$



# Seismic Waves

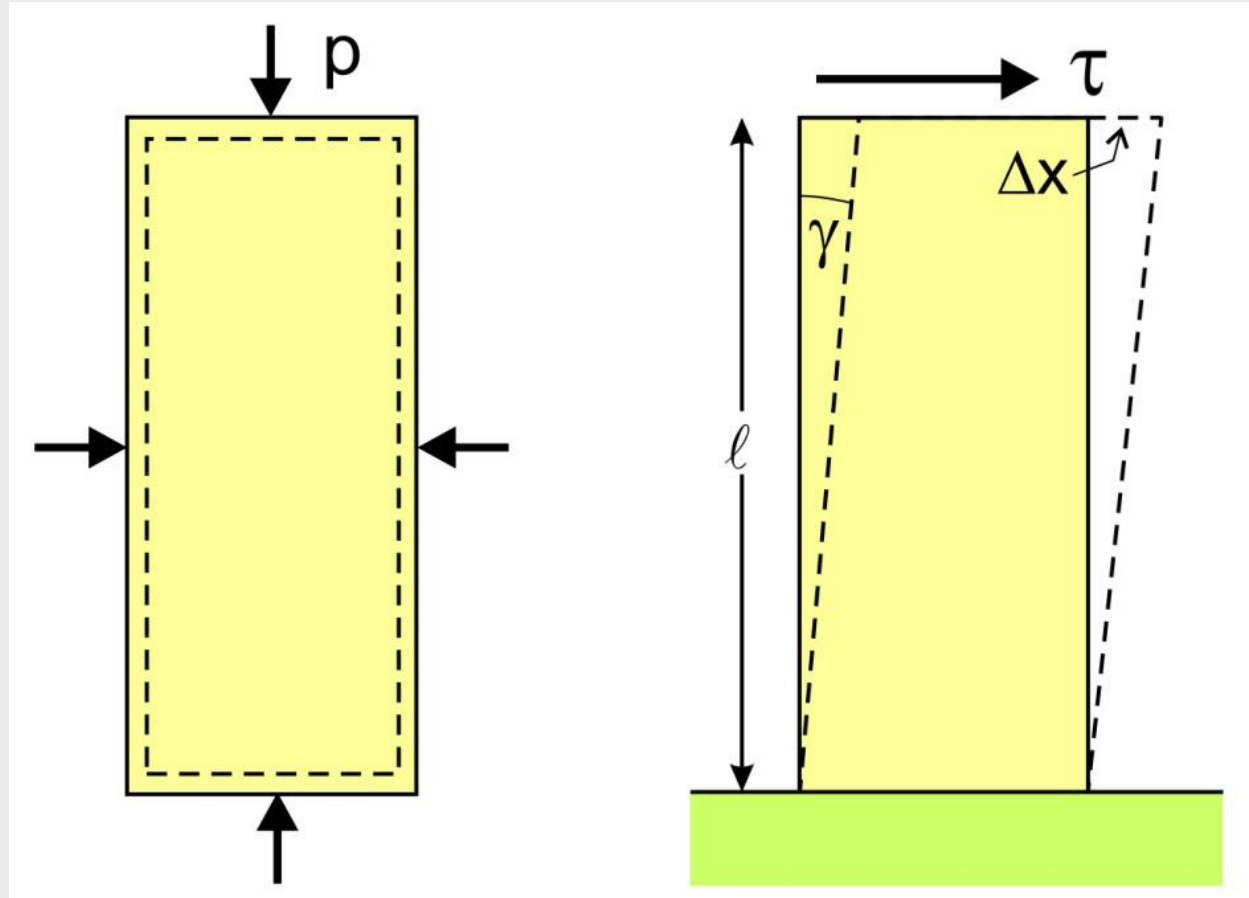
## Elastic modulus

Bulk modulus

$$\frac{\Delta V}{V} = \frac{1}{K} p$$

Shear modulus

$$\gamma = \frac{1}{G} \tau$$



## Elastic modulus

Rock Type	Density r	Young's Modulus E	Poisson's Ratio m	Vp (m/s)	Vs (m/s)	Vp/Vs	Vs as %Vp
Shale	2,67	0,120	0,040	2124	1470	1,44	69,22%
Siltstone	2,50	0,130	0,120	2319	1524	1,52	65,71%
Limestone	2,71	0,337	0,156	3633	2319	1,57	63,84%
Quartzite	2,66	0,636	0,115	4965	3274	1,52	65,96%
Sandstone	2,28	0,140	0,060	2488	1702	1,46	68,42%
Slate	2,67	0,487	0,115	4336	2860	1,52	65,96%
Schist	2,70	0,544	0,181	4680	2921	1,60	62,41%
Gneiss	2,64	0,255	0,146	3189	2053	1,55	64,38%
Marble	2,87	0,717	0,270	5587	3136	1,78	56,13%
Marble	2,71	0,343	0,141	3643	2355	1,55	64,65%
Granite	2,67	0,605	0,259	5260	3000	1,75	57,03%
Gabbro	3,05	0,727	0,162	5043	3203	1,57	63,51%
Diabase	2,96	1,020	0,271	6569	3682	1,78	56,05%
Basalt	2,74	0,630	0,220	5124	3070	1,67	59,91%
Andesite	2,57	0,540	0,180	4776	2984	1,60	62,47%
Tuff	1,45	0,014	0,110	996	659	1,51	66,20%

Units for Young's modulus are (N/m<sup>2</sup>) x 10<sup>11</sup>. Velocities computed from r, E, and m. Values selected from Press (1966, p. 97-173).

Navier-Cauchy equations for the displacement  $\vec{u}(\vec{x}, t)$  in an elastic medium (<http://hergarten.at/extra/continuummechanics.pdf>):

$$\rho \frac{\partial^2}{\partial t^2} \vec{u} = \nabla (\lambda \operatorname{div}(\vec{u})) + \operatorname{div} \left( \mu \left( \nabla \vec{u} + (\nabla \vec{u})^T \right) \right)$$

with

$$\begin{aligned} \rho(\vec{x}) &= \text{density} \\ \lambda(\vec{x}), \mu(\vec{x}) &= \text{Lamé's parameters of the medium} \end{aligned}$$

No general analytical solution for an inhomogeneous medium

If  $\lambda$  and  $\mu$  are constant:

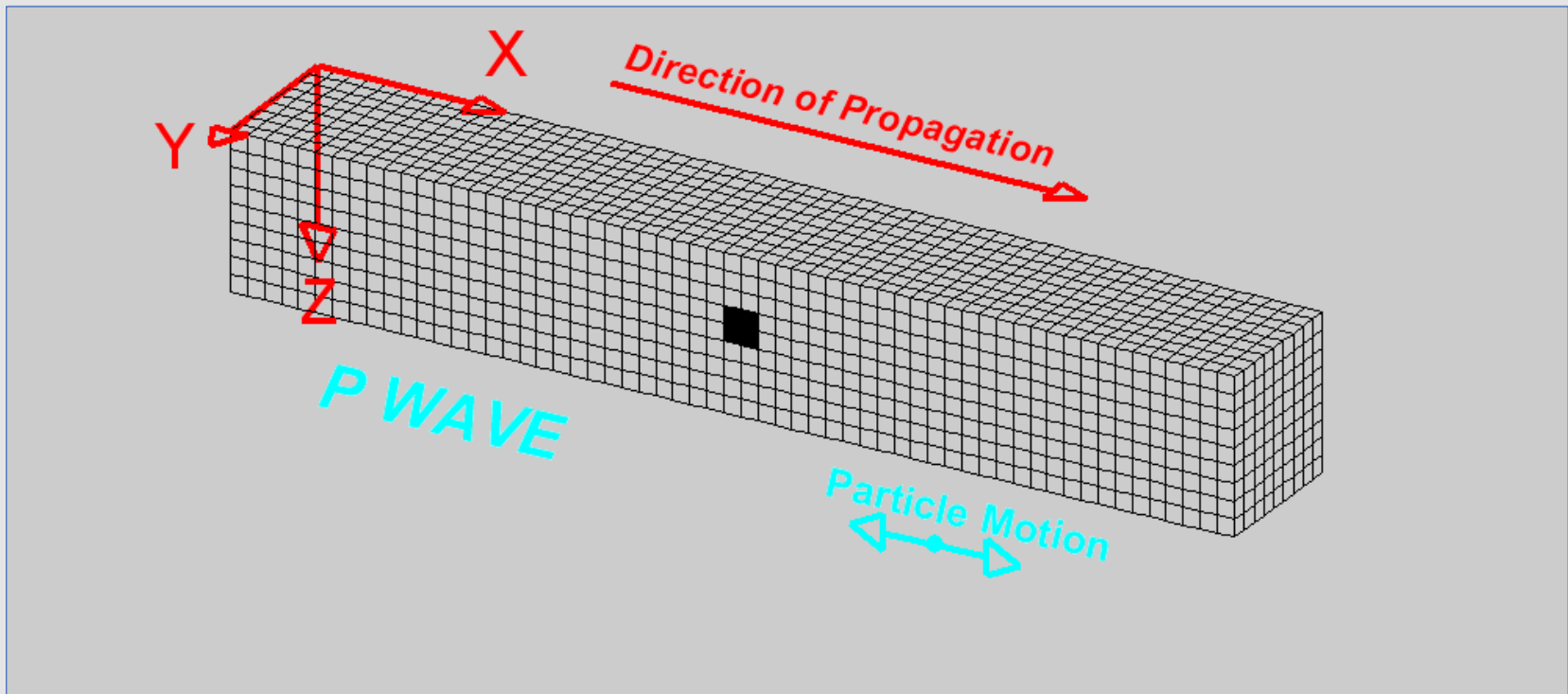
$$\rho \frac{\partial^2}{\partial t^2} \vec{u} = (\lambda + \mu) \nabla \operatorname{div}(\vec{u}) + \mu \Delta \vec{u}$$

# Seismic Waves

## Basic Types of Body Waves

Two types of independent plane waves in an infinite, homogeneous elastic medium:

- Compressional wave (longitudinal wave, primary wave)

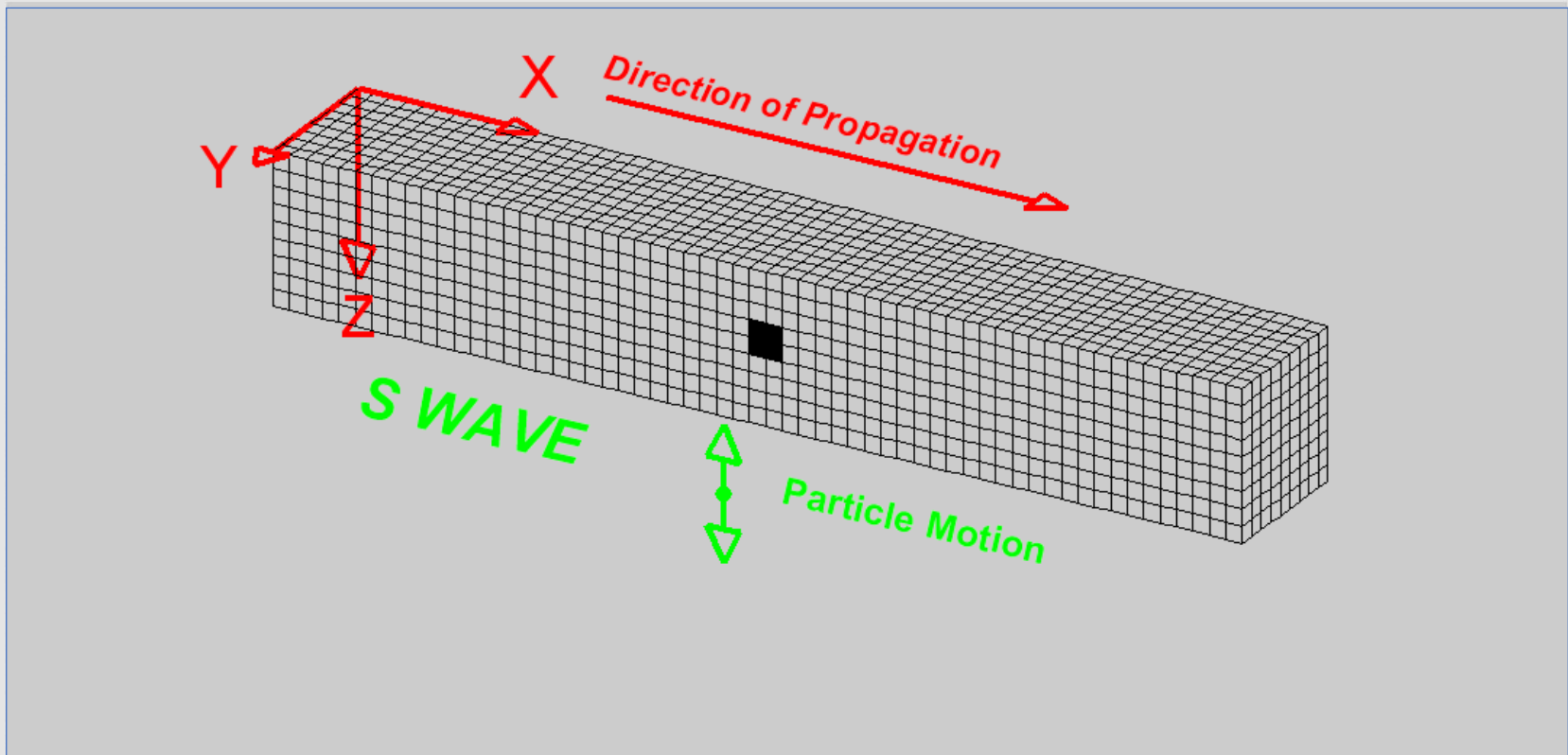


Source: L. Braille, Purdue University

# Seismic Waves

## Basic Types of Body Waves

- Shear wave (transverse wave, secondary wave)



Source: L. Braile, Purdue University



# Seismic Waves

## Comparison with Sound Waves in Liquids and Gases

The compressional wave is similar to sound waves in liquids and gases, while the shear wave has no counterpart in liquids and gases.

## Seismic Velocities

Medium	Compressional wave [ $\frac{\text{km}}{\text{s}}$ ]	Shear wave [ $\frac{\text{km}}{\text{s}}$ ]
air	0.34	–
water	1.45	–
wood	about 3	about 1.8
Earth	5.8–13.7	3.2–7.3

# Seismic Waves

## Seismic Velocities

Velocity  $v_p$  of the compressional wave is always higher than the velocity  $v_s$  of the shear wave.



Compressional wave always arrives prior to the shear wave.



compressional wave = primary wave (P-wave)  
shear wave = secondary wave (S-wave)

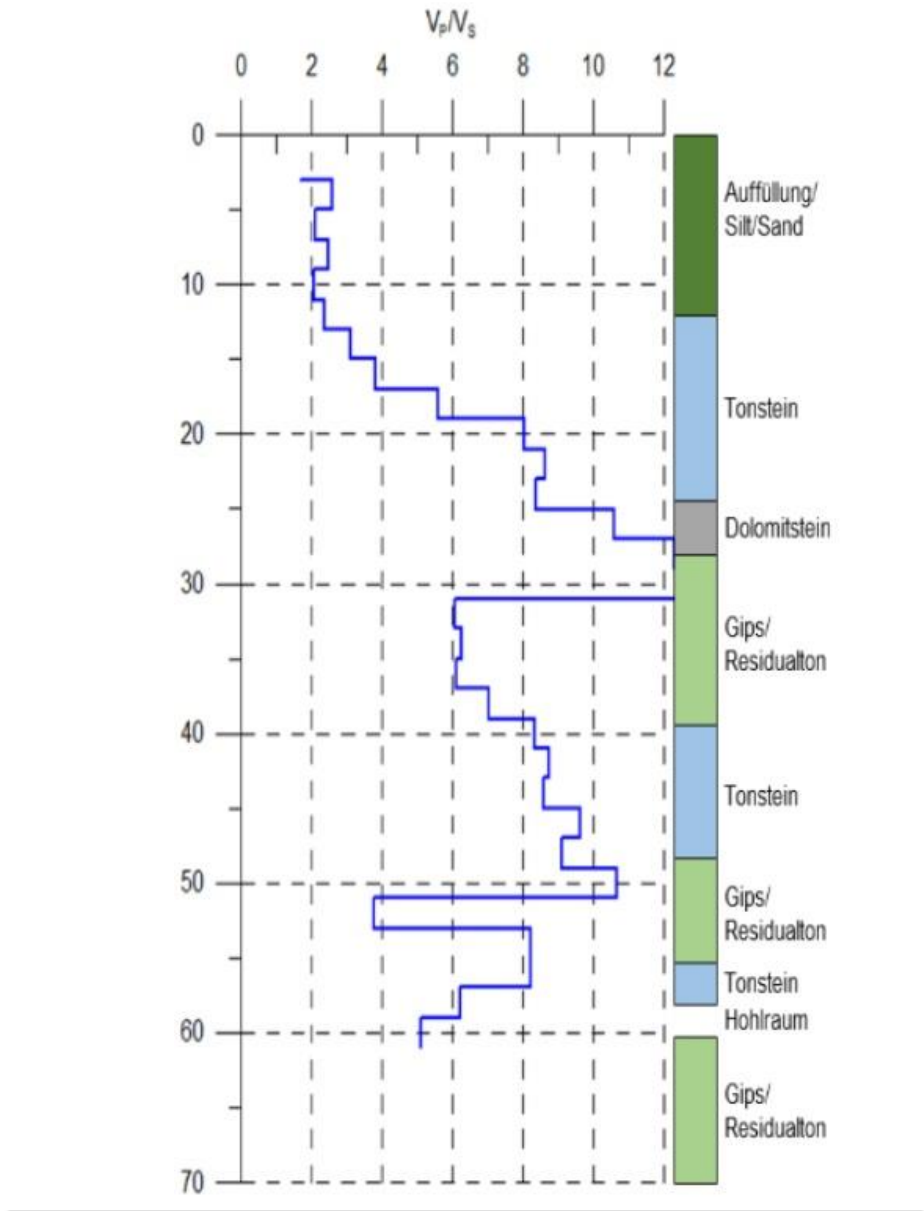
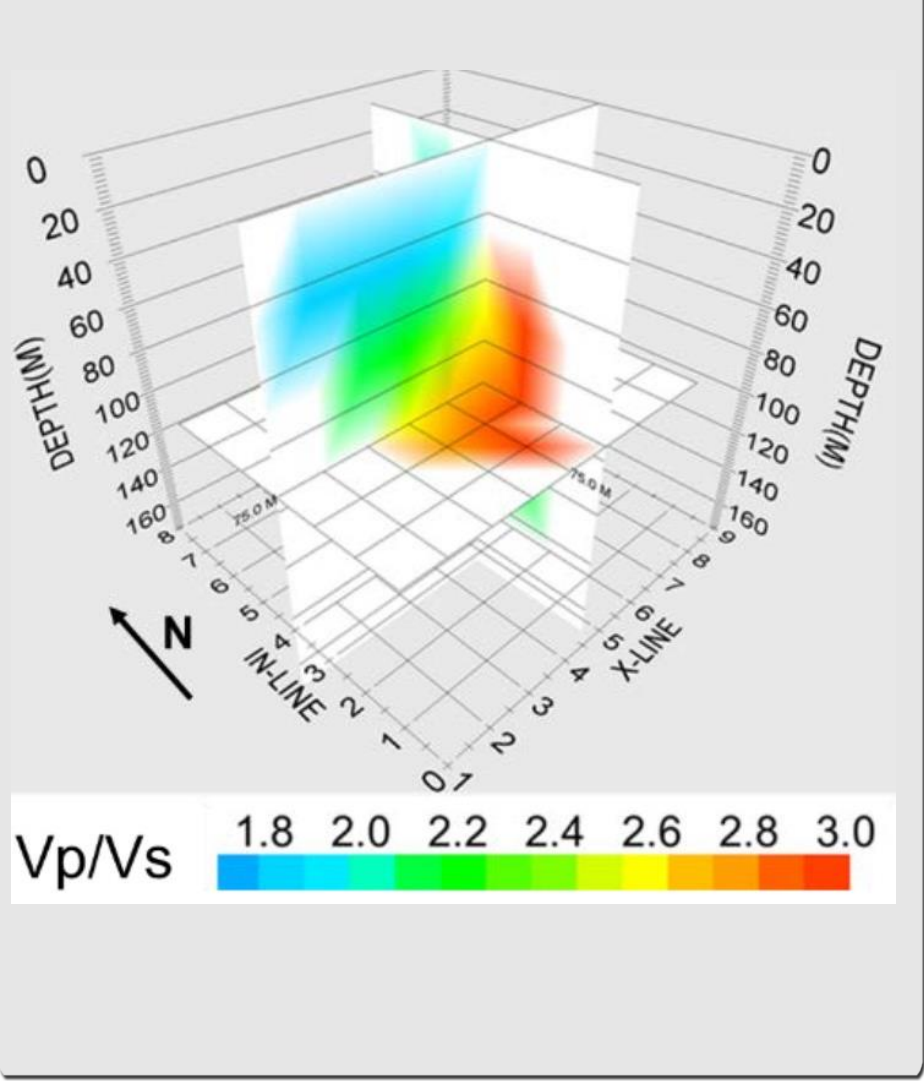
## Rules of Thumb

Solid rock:  $v_s \approx 0.5 v_p - 0.6 v_p$

Soil / unconsolidated rock:  $v_s \approx 0.4 v_p$

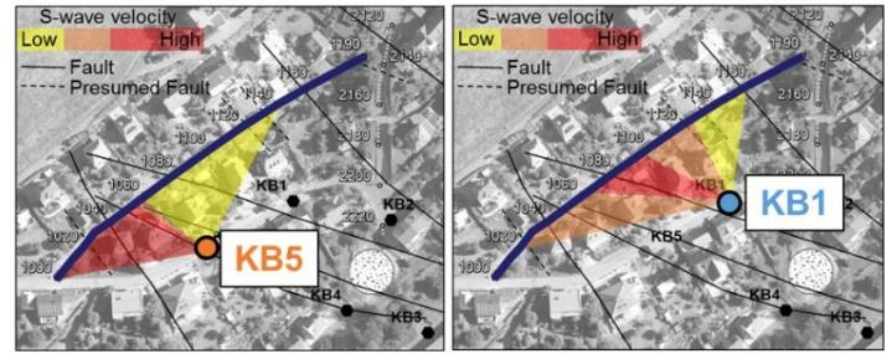
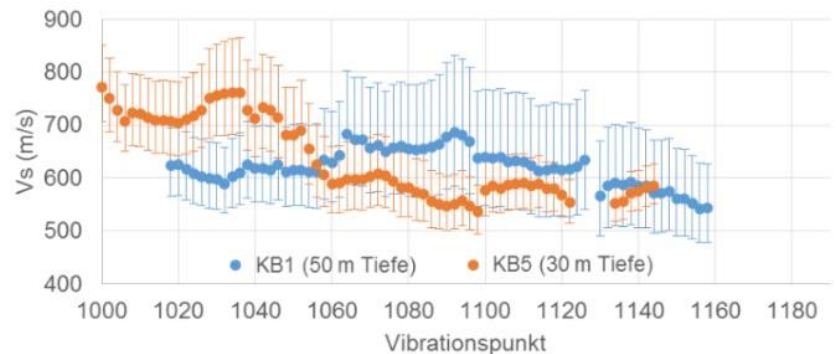
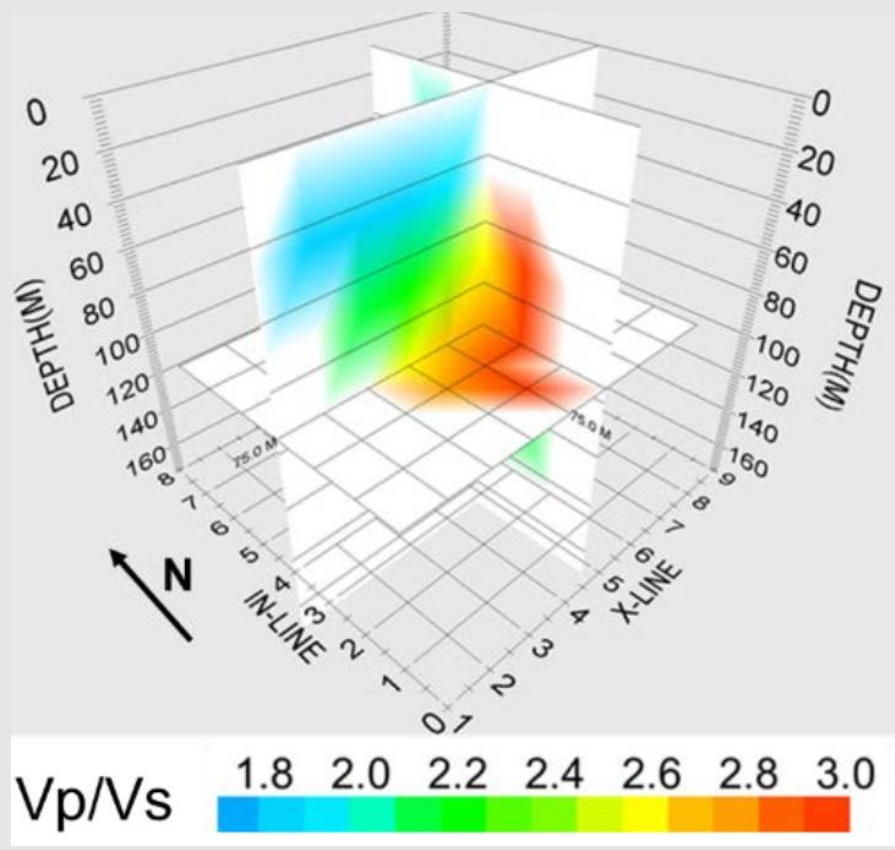
# Seismic Waves

## Seismic Velocities



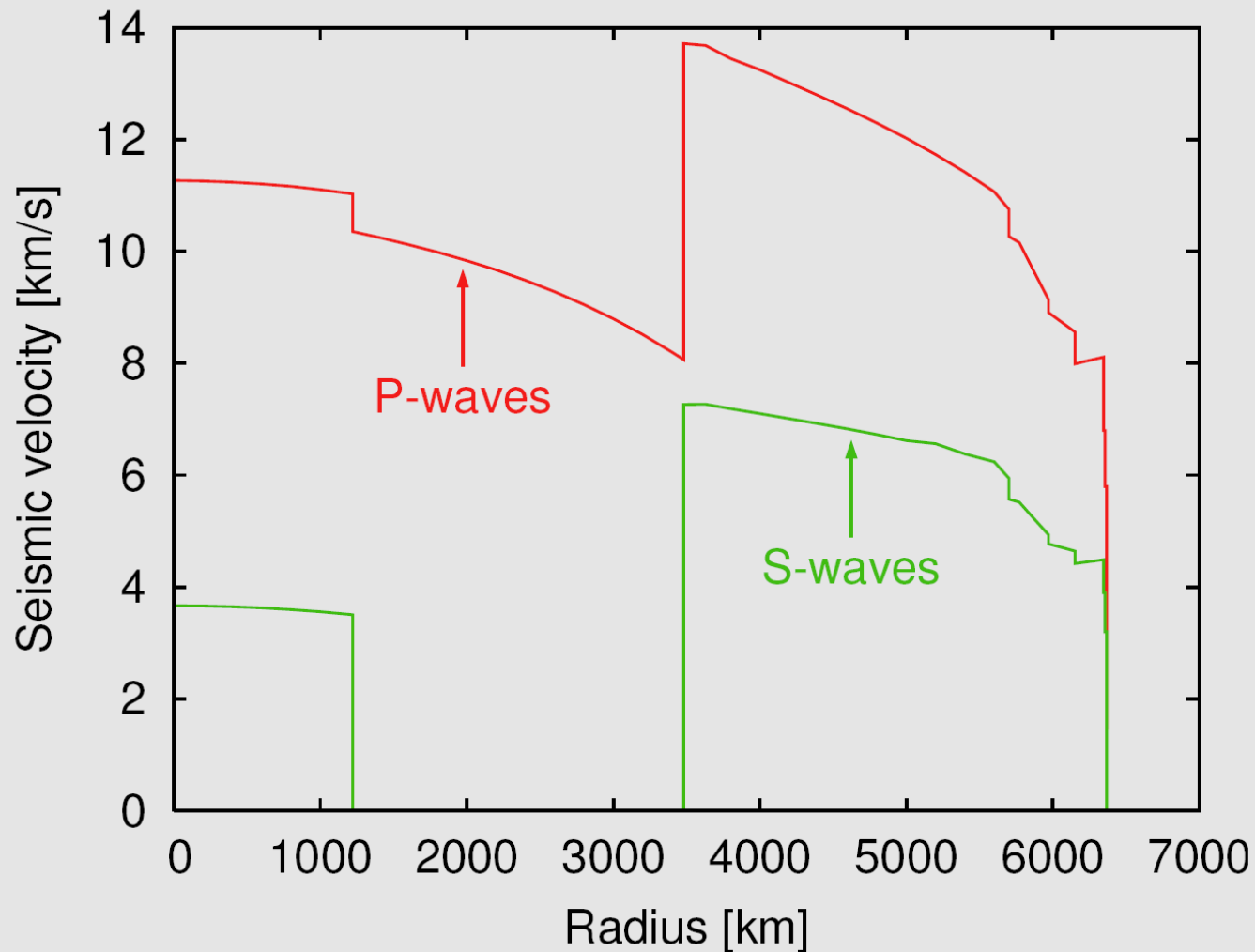
# Seismic Waves

## Seismic Velocities



# Seismic Waves

## Seismic Velocities according to the Preliminary Reference Earth Model



## Typical P-wave Velocities in the Shallow Subsurface

$\vec{s}$  is the “slowness vector” of the wave ( $|\vec{s}| = \frac{1}{v}$ ), so that

$$v_p = \sqrt{\frac{\lambda + 2\mu}{\rho}} = \sqrt{\frac{M}{\rho}} = \sqrt{\frac{K + \frac{4}{3}\mu}{\rho}}$$

$$v_s = \sqrt{\frac{\mu}{\rho}}$$

with

$M$  = linear elastic modulus without lateral contraction

$K$  = bulk modulus

$\mu$  = shear modulus

$v_p$  und  $v_s$  are determined by the density and on the elastic modulus of the respective type of deformation.

# Seismic Waves

## Seismic Velocities and Elastic Properties – vertical slowness

Slowness ( $s$ ) is a quantity introduced in Seismology which is the reciprocal of velocity. Thus travel time of a wave is the distance that the wave travels times the slowness of the medium.

# Seismic Waves

## Typical P-wave Velocities in the Shallow Subsurface

Medium	$v_p$ [ $\frac{\text{km}}{\text{s}}$ ]
weathering zone	0.1–0.5
dry sand	0.3–0.6
water-saturated sand	1.3–1.8
sandstone	1.8–4
pit coal	1.6–1.9

Medium	$v_p$ [ $\frac{\text{km}}{\text{s}}$ ]
clay	1.2–2.8
claystone	2.2–4.2
limestone	3–6
halite	4.5–6.5
granite	5–6.5



## Propagation in Inhomogeneous Media

Two different approximations in analogy to optics:

- Computation of wavefronts (Huygens' principle, eikonal equation)
- Computation of ray paths normal to the wavefronts (ray optics)

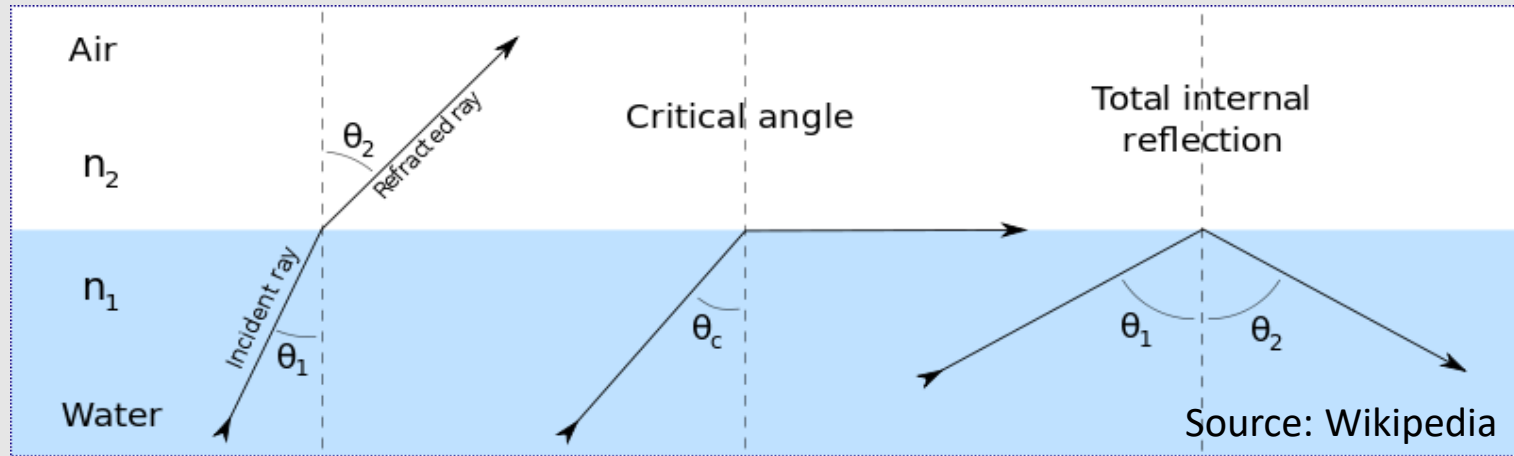
## Ray Optics

Ray optics is rather simple in two limiting cases:

- Almost planar interface between two homogeneous media.
- Medium is almost homogeneous on the scale of the wavelength.

# Seismic Waves

## Reflection and Refraction



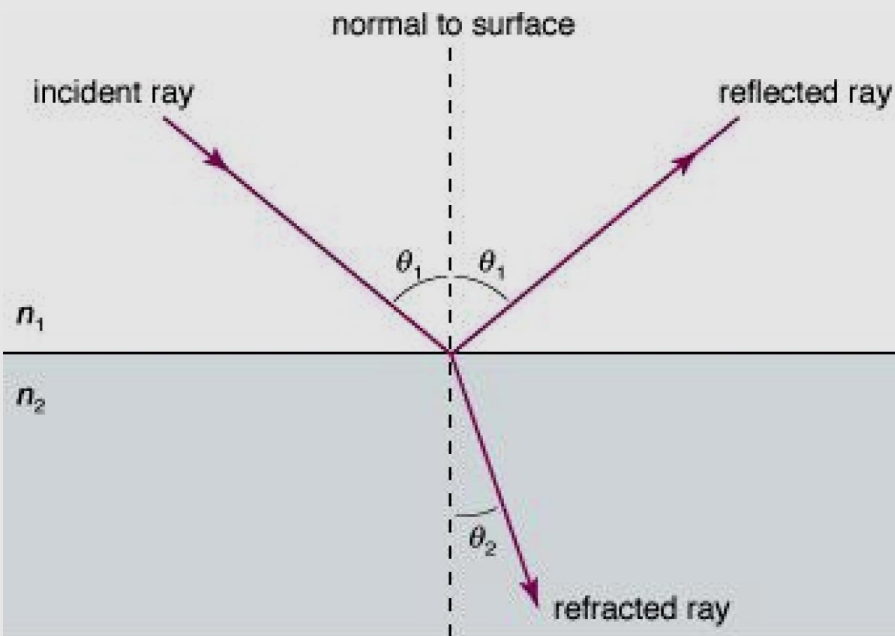
## Snell's law

Simplest case: two homogeneous, isotropic halfspaces with different properties ( $\lambda$ ,  $\mu$ ,  $\rho$ ) and plane waves in each of them.

$$\frac{\sin \theta_1}{\sin \theta_2} = \frac{v_1}{v_2} = \frac{\lambda_1}{\lambda_2} \quad \Rightarrow \quad \begin{aligned} v_{refl} &= l - (2 \cos \theta_1) n \\ v_{refr} &= \left( \frac{n_1}{n_2} \right) l + \left( \frac{n_1}{n_2} \cos \theta_1 \pm \cos \theta_2 \right) n \end{aligned}$$

# Seismic Waves

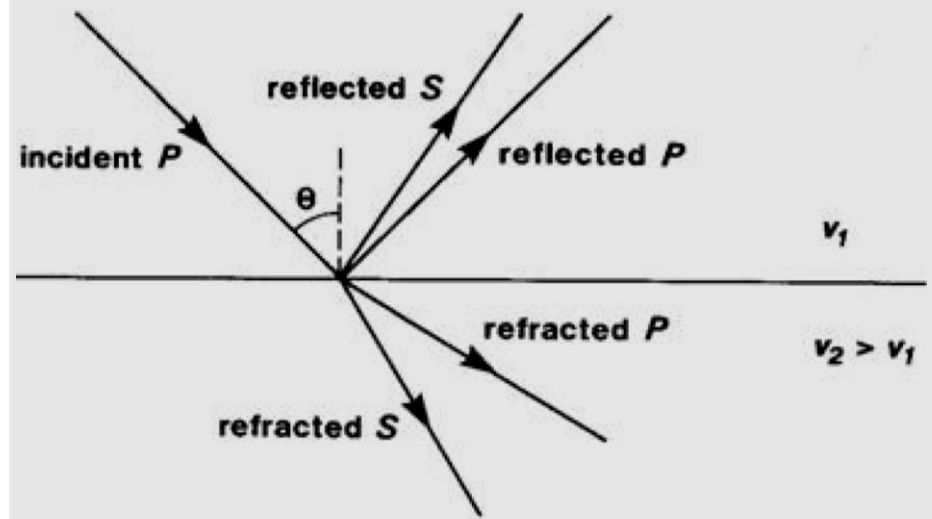
## Reflection and Refraction of light



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Source: Encyclopaedia Britannica

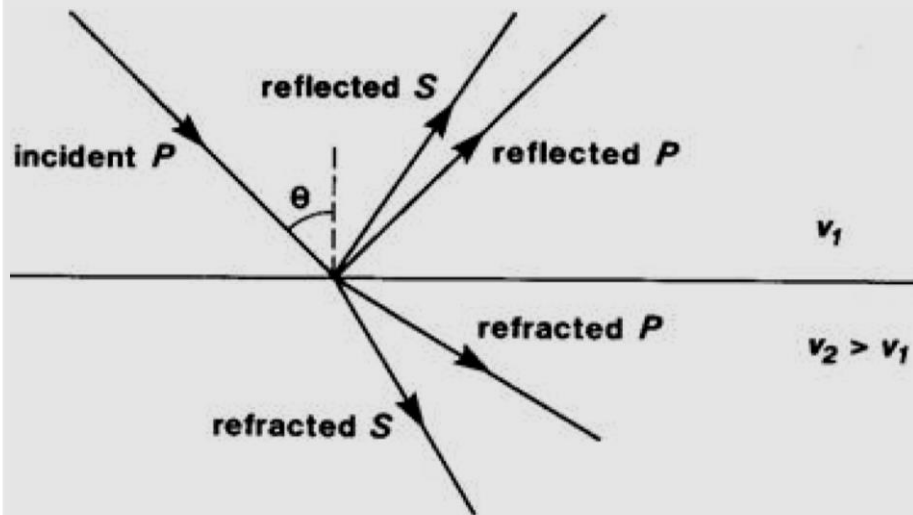
## Reflection and Refraction of elastic waves



Source: University College London

# Seismic Waves

## Reflection and Refraction of elastic waves



Source: University College London

$$\alpha = 89^\circ$$

$$\rho = 2.92 \text{ g/cm}^3$$

$$v_p = 6.50 \text{ km/s}$$

$$v_s = 3.75 \text{ km/s}$$

$$\rho = 3.32 \text{ g/cm}^3$$

$$v_p = 8.02 \text{ km/s}$$

$$v_s = 4.69 \text{ km/s}$$

Conversion of Waves in Reflection and Refraction

## Reflected and Refracted Waves

P- and S-waves are merged when reflected or refracted.



Incoming P- or S-wave induces up to 4 reflected and refracted waves.

Snell's law holds for all involved pairs of waves



Ray parameter

$$p = \frac{\sin \alpha}{v}$$

(also called horizontal slowness) is the same for all involved waves.

## Reflected and Refracted Waves

General form of Snell's law:

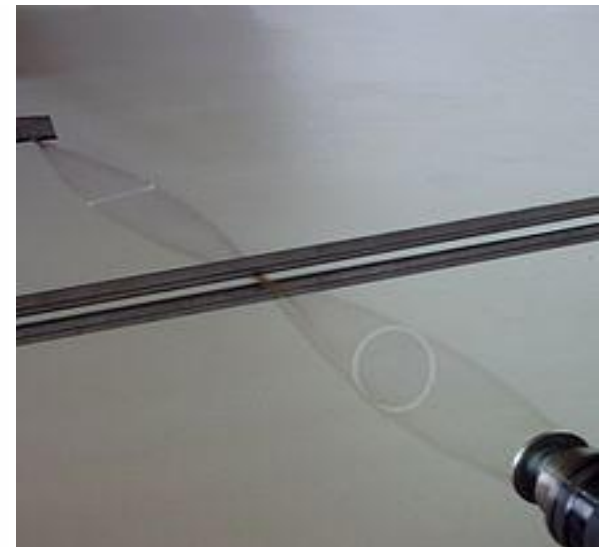
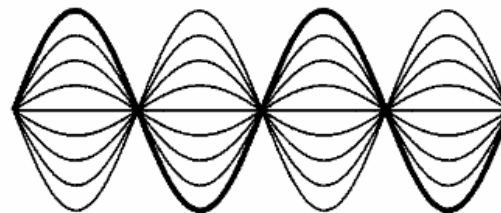
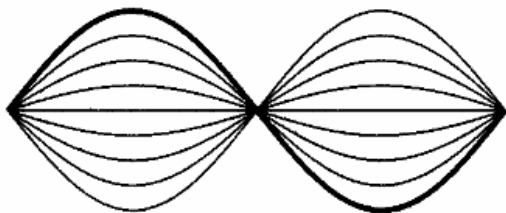
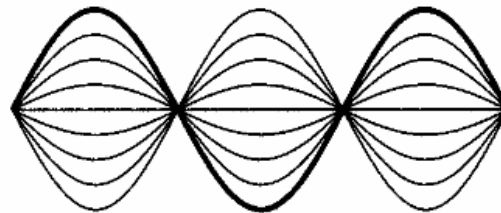
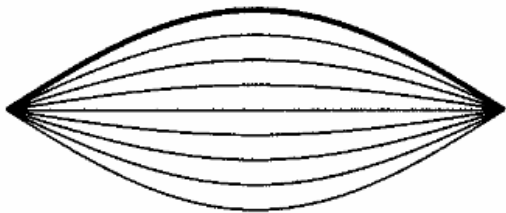
Horizontal slowness remains constant in reflection and refraction.

- Horizontal velocity is not constant!
- Conservation of horizontal slowness is the main reason why slowness is preferred to velocity in seismology.

# Seismic Waves

## Polarization (shear wave splitting)

- Parameter that specifies the geometrical orientation of the oscillations
- Horizontally and vertically polarized seismic waves (shear waves) are termed SH and SV, while waves with longitudinal polarization are termed P-waves.
- Differential propagation of the three polarizations through the earth is a crucial in the field of seismology.

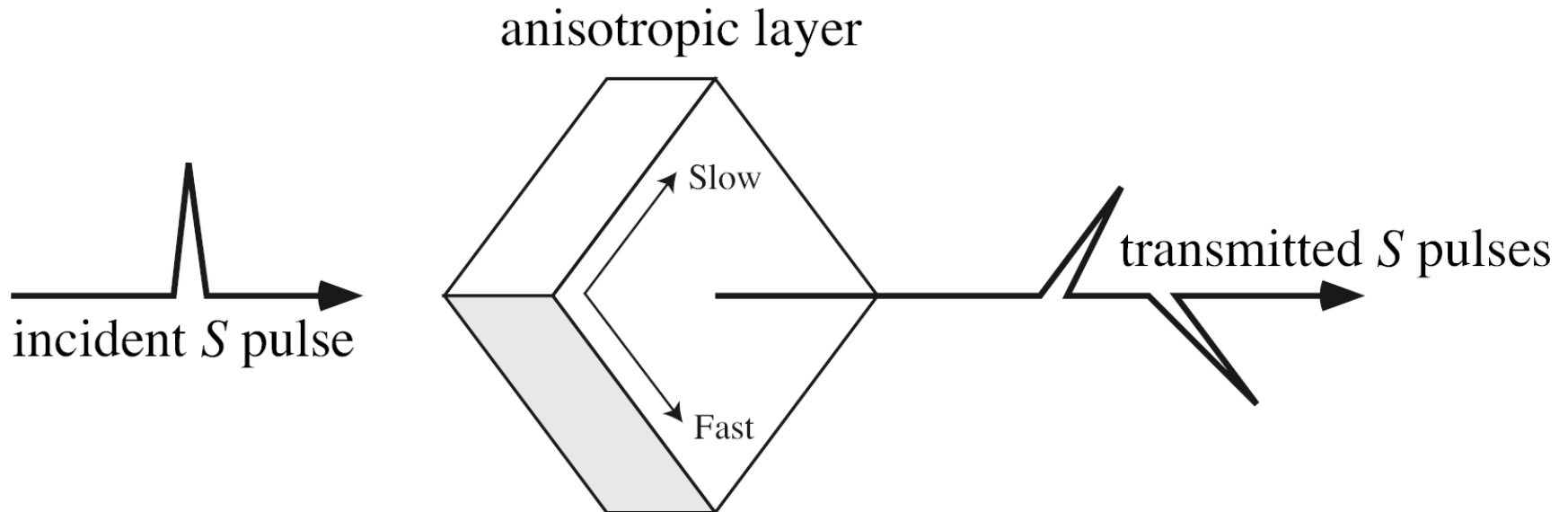


Modes of vibration of a string between fixed endpoints, from Shearer 2010, Introduction to Seismology

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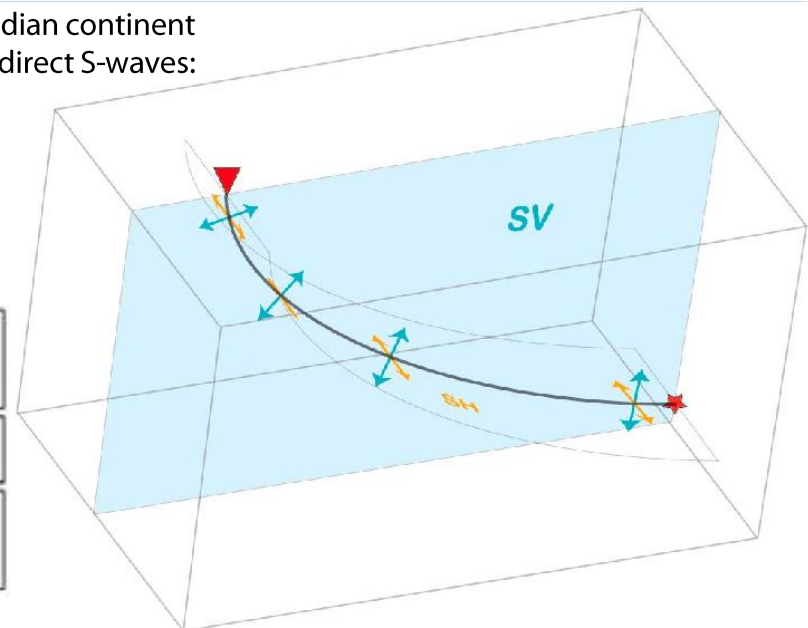
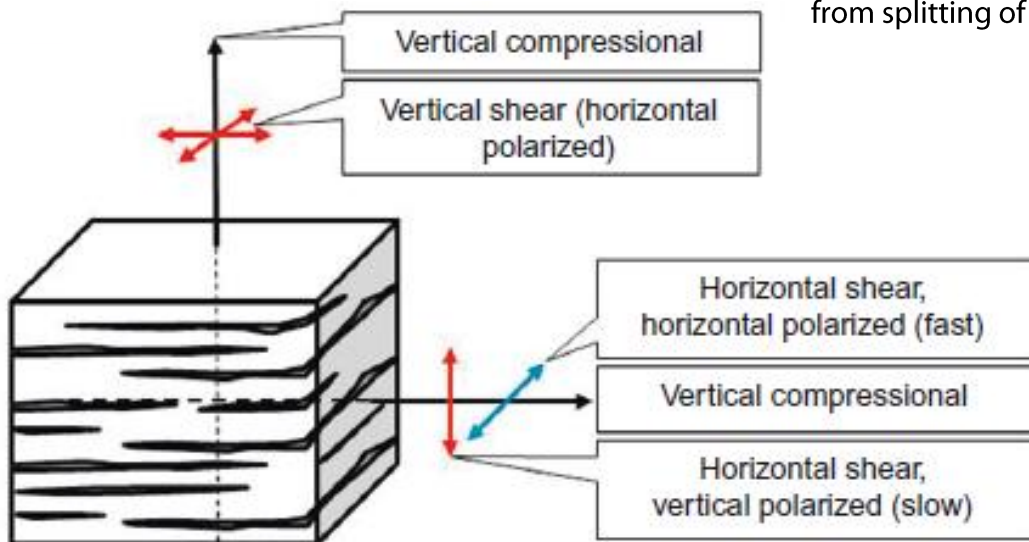


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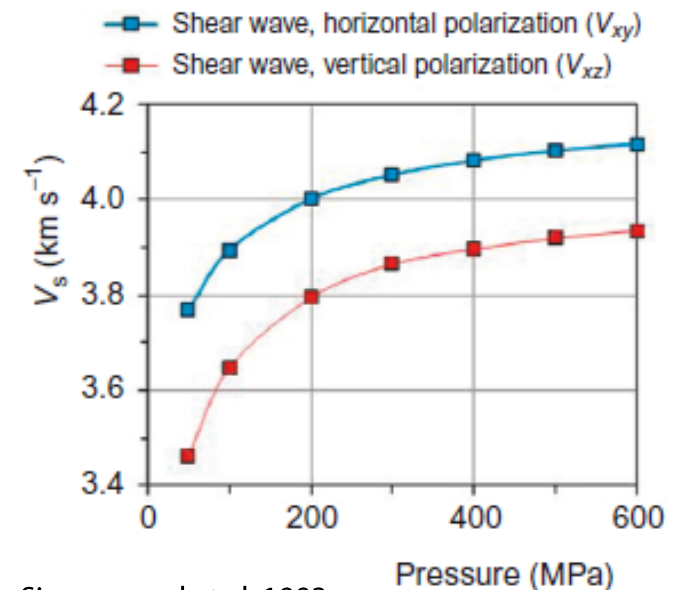
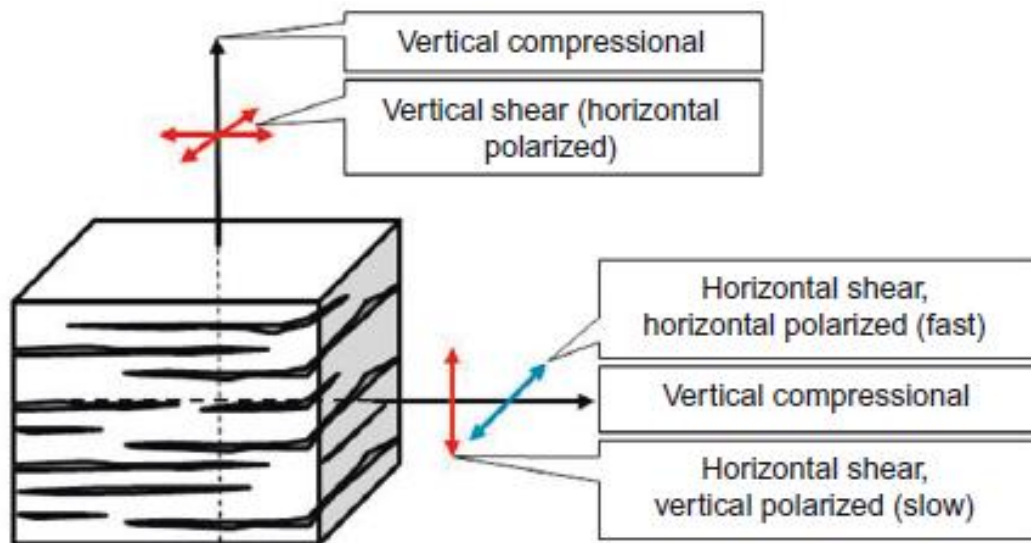
Dipankar et al. 2010, Seismic anisotropy beneath the Indian continent  
from splitting of direct S-waves:



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# Seismic Waves

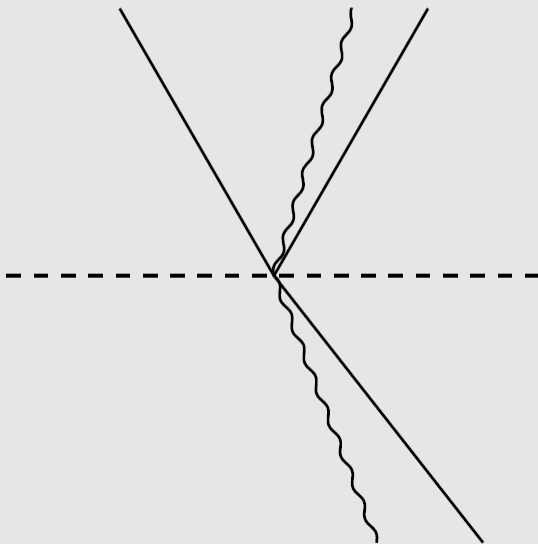
## Conversion of Waves in Reflection and Refraction

Conversion of waves depends on the polarization of the S-waves.

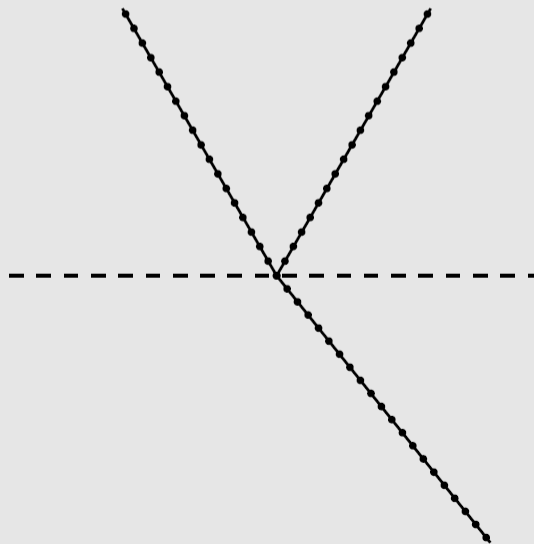
Vertically polarized S-wave (SV) merges with P-waves.

Horizontally polarized S-wave (SH) is independent of P-waves and SV-waves.

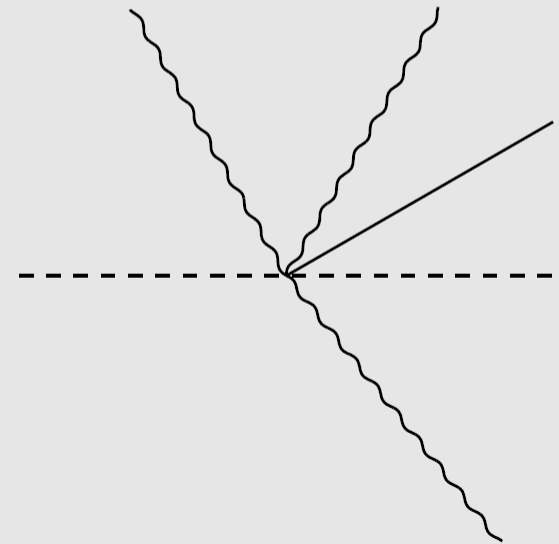
Incident P-wave



Incident SH-wave



Incident SV-wave



## Surface Waves

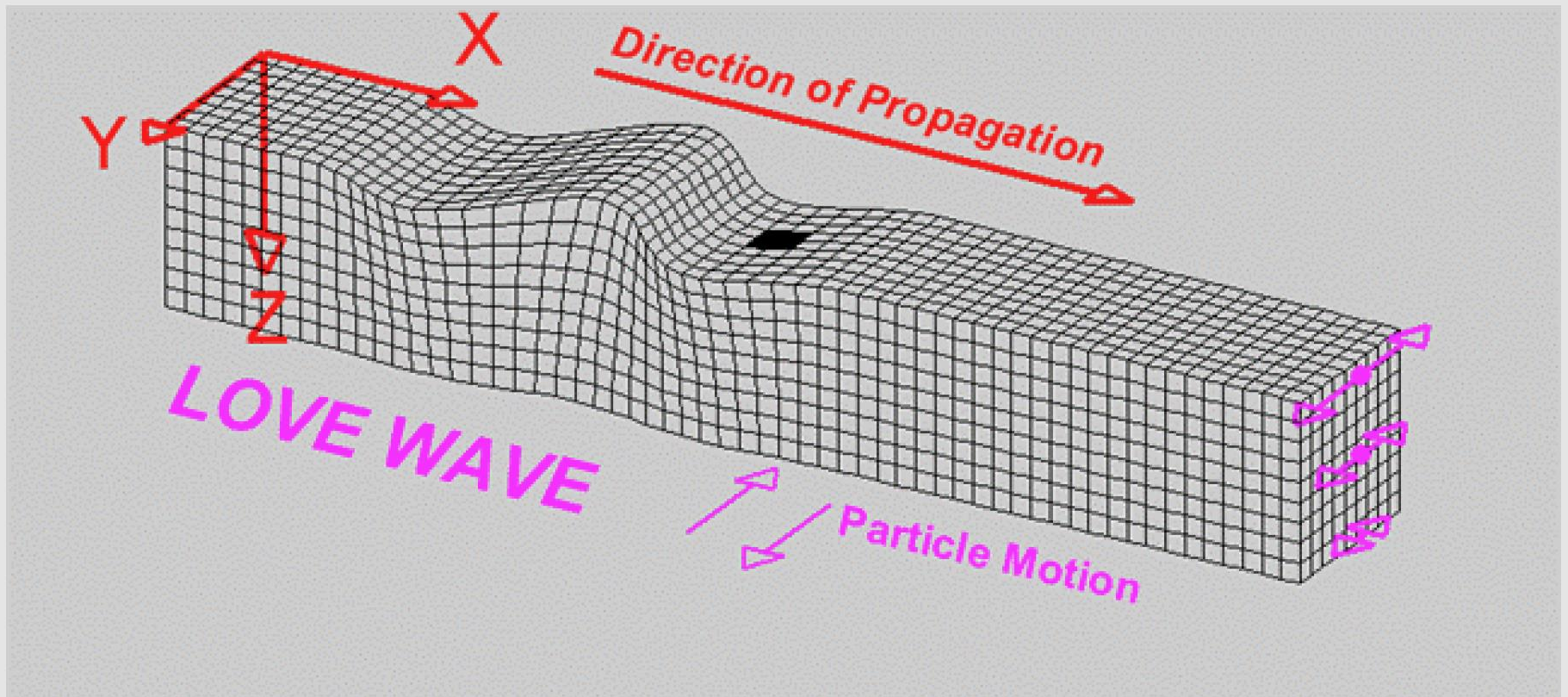
Infinite domain in 3D → body waves (P- and S-wave)

Semi-infinite halfspace → surface waves (Love wave and Rayleigh wave)

## The Love Wave

- Discovered quite late (1911), named after A. E. H. Love.
- In principle a S-wave with horizontal polarization.
- Amplitude decreases exponentially with depth.
- Exists only in inhomogeneous media where the seismic velocities increase with depth.

## The Love Wave



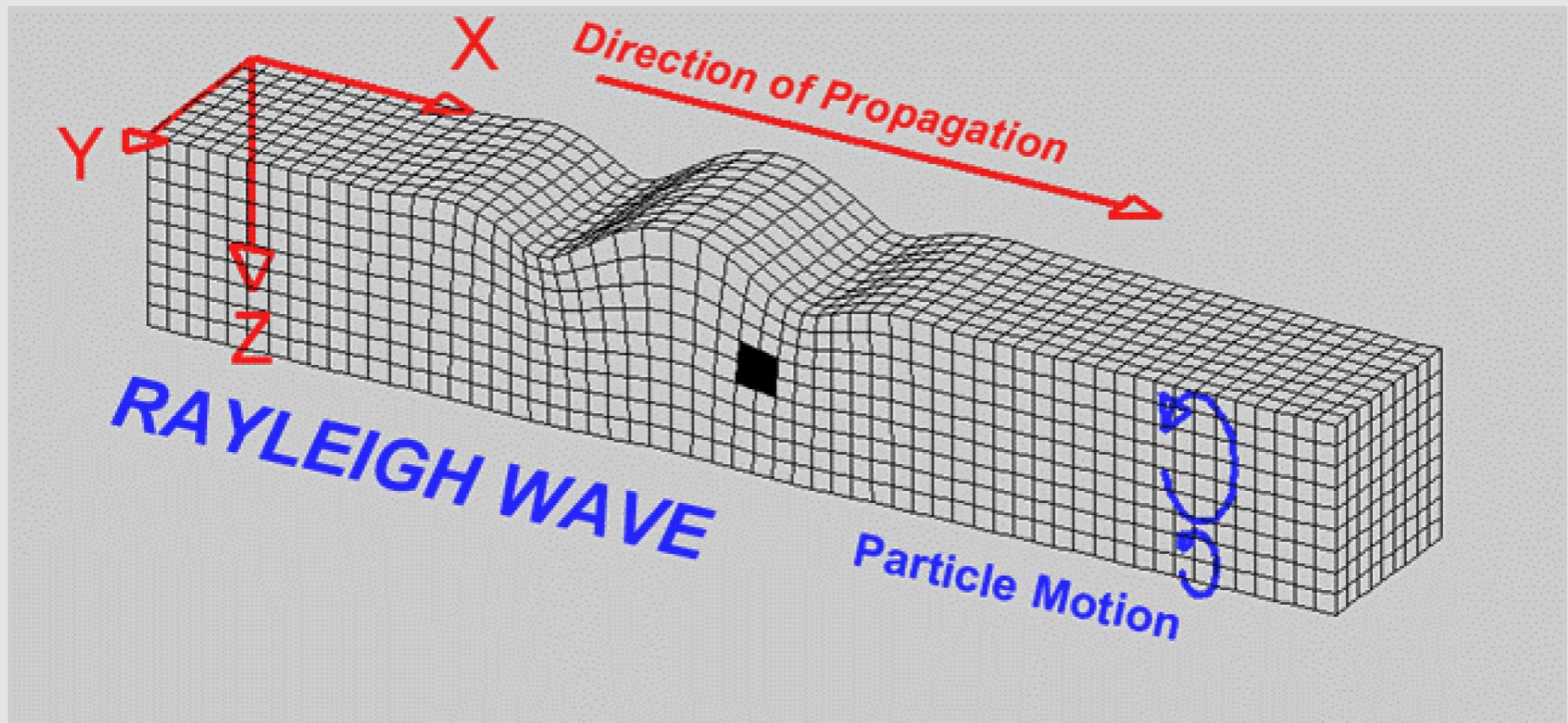
Source: L. Braile, Purdue University

## The Rayleigh Wave

- Named after J. W. Strutt (later 3. Lord Rayleigh).
- In principle a mixture of P-wave and S-wave with vertical polarization.
- Particles rotate backwards on elliptic traces (ground roll).
- Causes most of the damage of earthquakes.
- Amplitude decreases exponentially with depth.
- Also exists in homogeneous media.
- Slightly slower than the S-wave.

# Seismic Waves

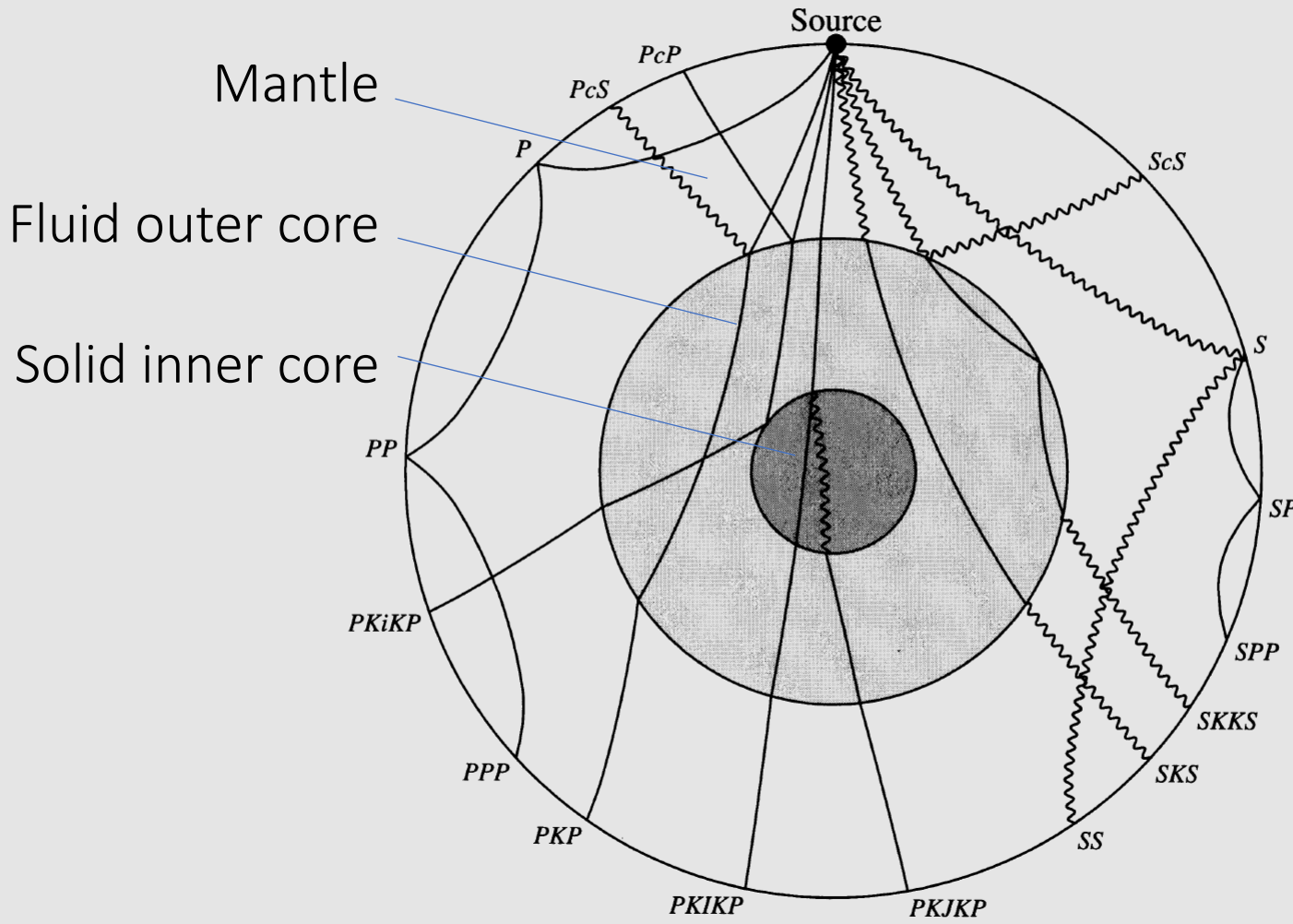
## The Rayleigh Wave



Source: L. Braile, Purdue University

# Seismic Waves

## Global Wave Propagation in the Earth's Interior



Source: Shearer, Introduction to Seismology



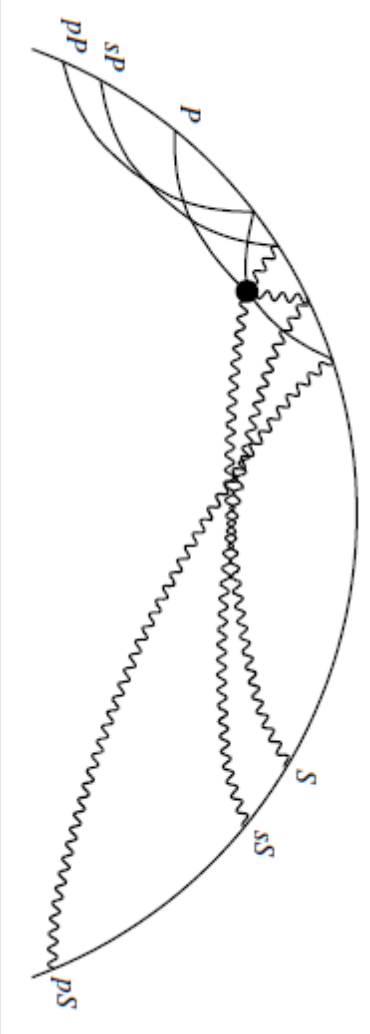
# Seismic Waves

## Global Wave Propagation in the Earth's Interior

- P: P wave in the crust/mantle
- K: P wave in the outer core
- I: P wave in the inner core
- S: S wave in the crust/mantle
- J: S wave in the inner core
- c: reflection off the core–mantle boundary (CMB)
- i: reflection off the inner-core boundary (ICB)
- Combination of different letters indicate the seismic phases along the ray path travelling through the Earth.
- Repeating letters indicate reflection at the surface (PP, SS)

# Seismic Waves

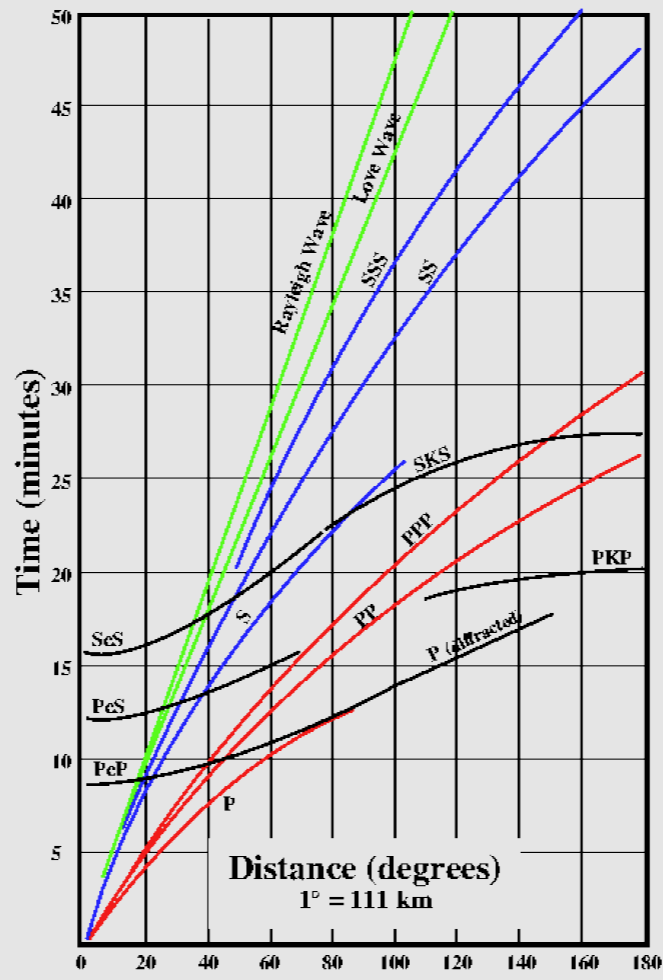
## Global Wave Propagation in the Earth's Interior



- Capital letters indicate the rays of depth phases, going downwards from the source, i.e. vertical angle lower than  $90^\circ$  (P,S)
- Lower case letters indicate the rays of depth phases, going upwards from the source, i.e. vertical angle greater than  $90^\circ$  (p,s)
- Hypocenter near reflexions at the surface (pP,pS,sP,sS)
- Conversion waves travelling upwards (Ps,Sp)

# Seismic Waves

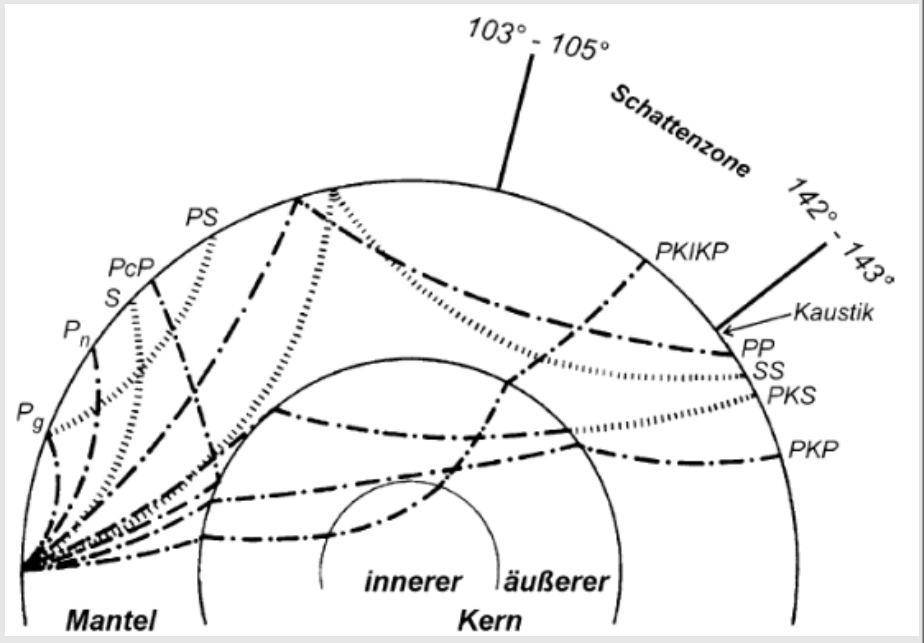
## Localization of Earthquakes – Travel Time Curves



Source: Southern Arizona Seismic Observatory

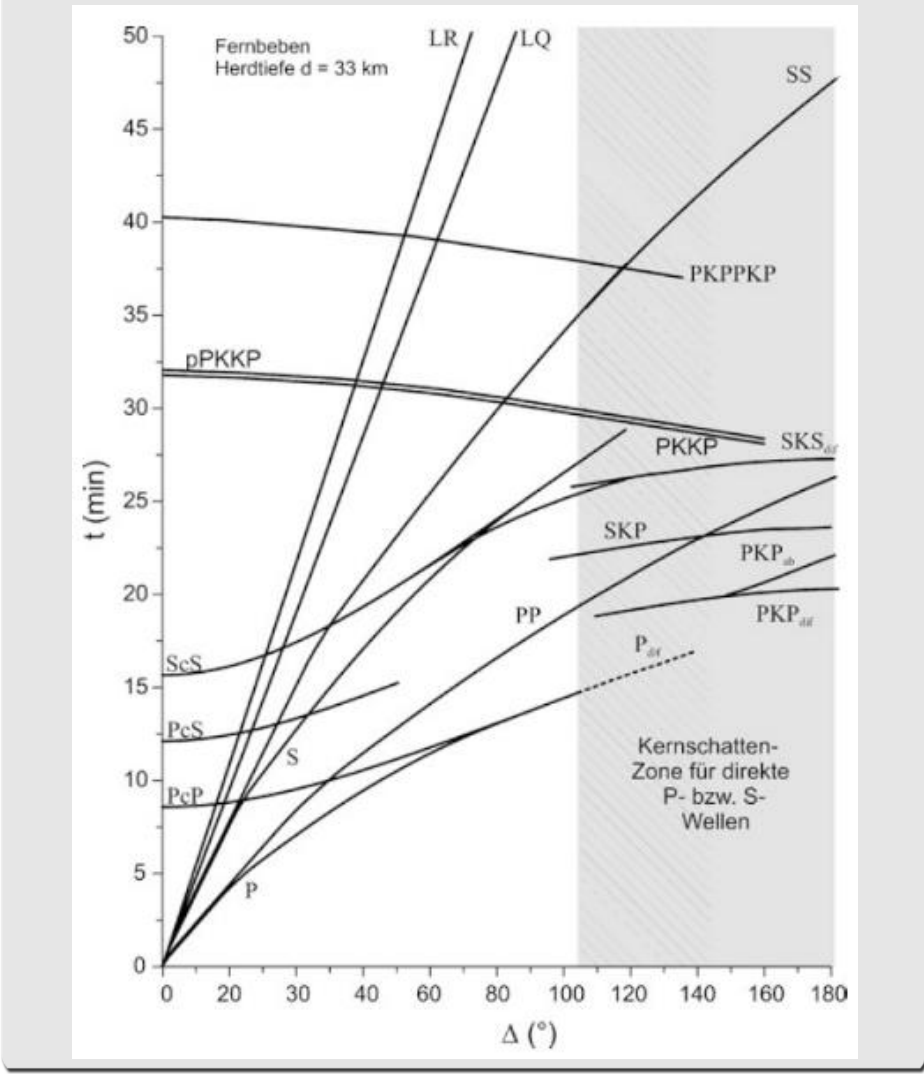
# Seismic Waves

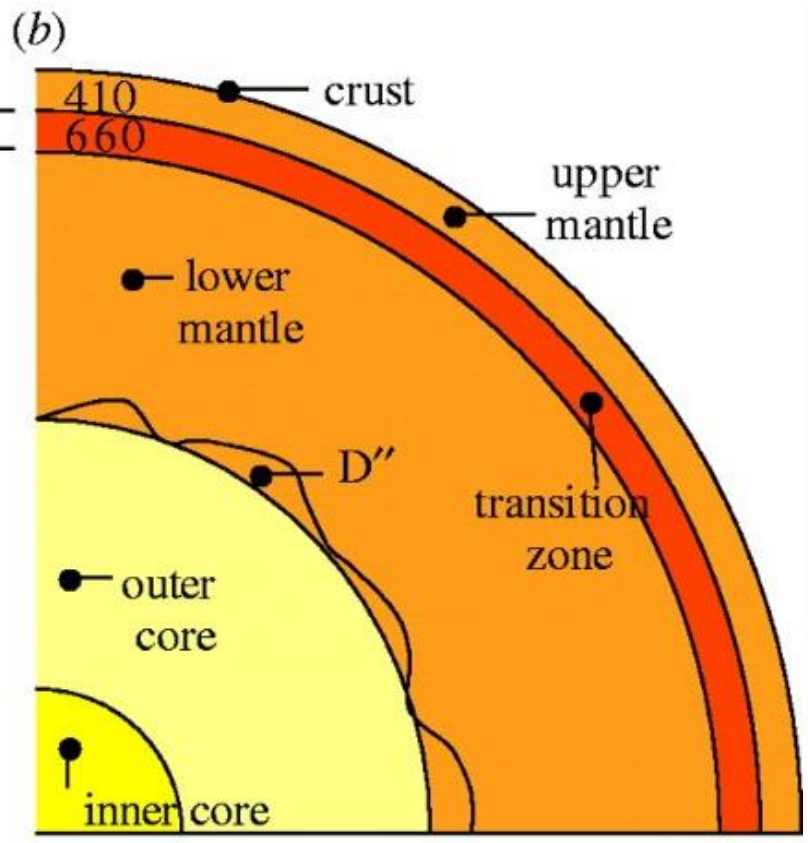
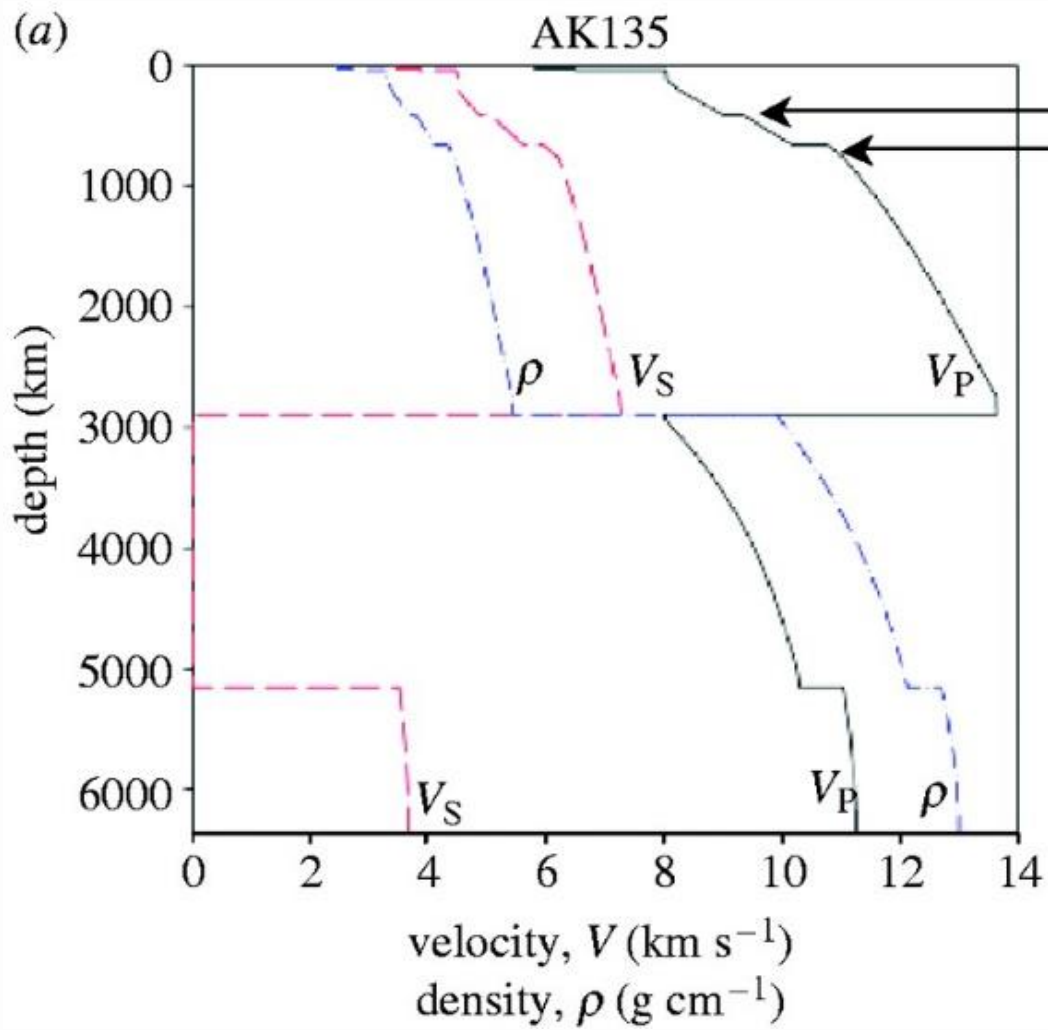
## Localization of Earthquakes



Source: Clauser, Einführung in die Geophysik, figs. 3.15 and 3.16

## Travel Time Curves





```

% Loading data.

data=csvread ('prem.csv');

radius=data(:,1); % Earth radius.
density=data(:,2); %Density.
pwave=data(:,3); % P-wave velocity.
swave=data(:,4); % S-wave velocity.

% Adding a value of NaN for discontinuities

for i=2:numel(radius)
    A=[radius(i-1) radius(i)]
    if A(1)==A(2)
        density(i)=NaN;
        pwave(i)=NaN;
        swave(i)=NaN;
    end
end

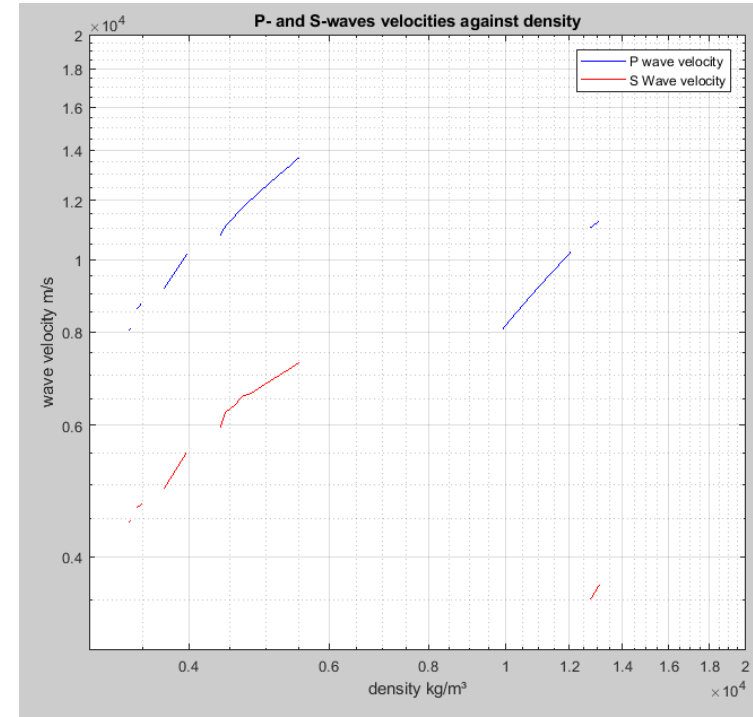
% Plotting data with NaN values.

figure
loglog(density,pwave,'b-')
hold on
loglog(density,swave,'r-')

% Labeling figure and axis.

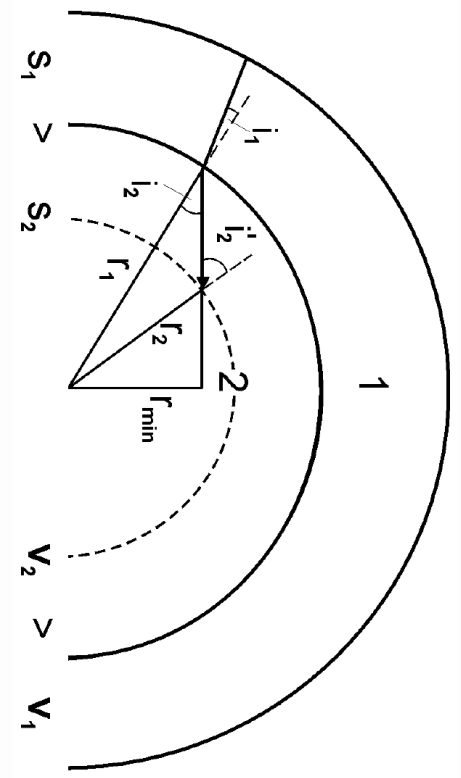
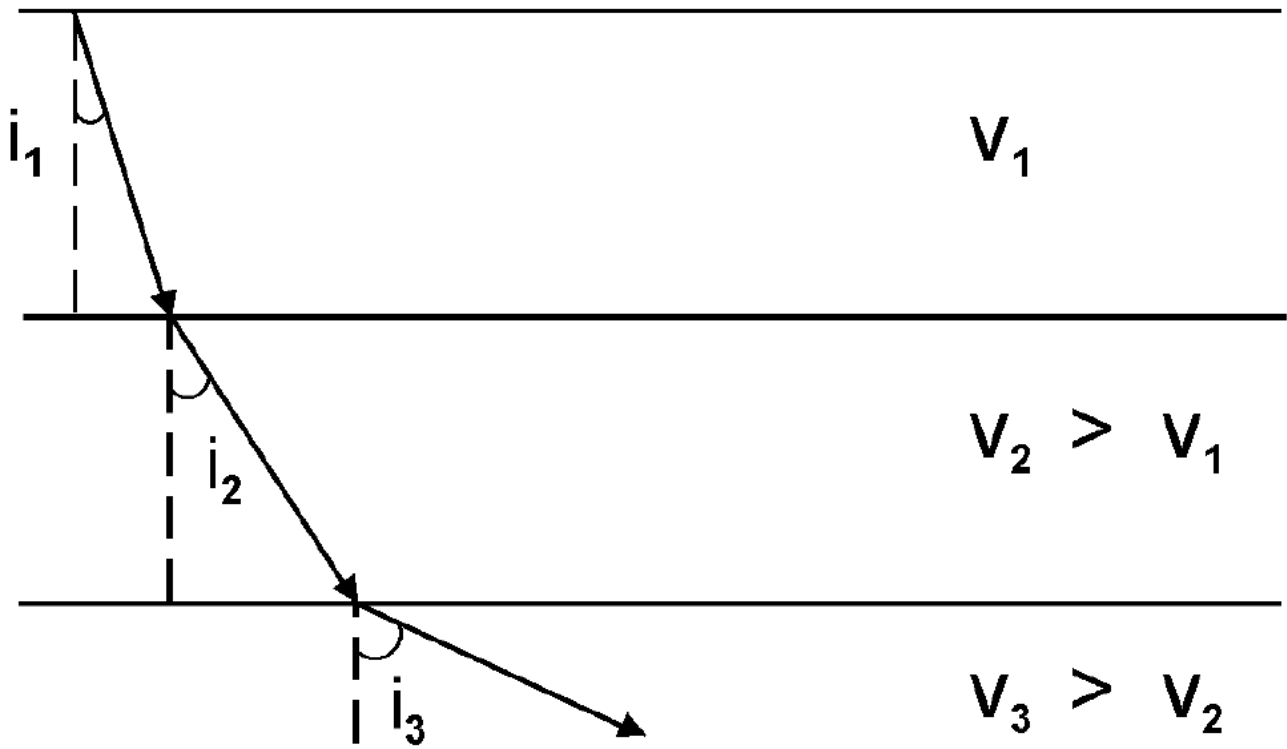
legend('P wave velocity','S Wave velocity')
title('P- and S-waves velocities against density')
xlabel ('density kg/m³')
ylabel ('wave velocity m/s')
grid on
set(gcf, 'color', [0.8 0.8 0.8])
axis ([3*10^3 2*10^4 3*10^3 2*10^4])

```

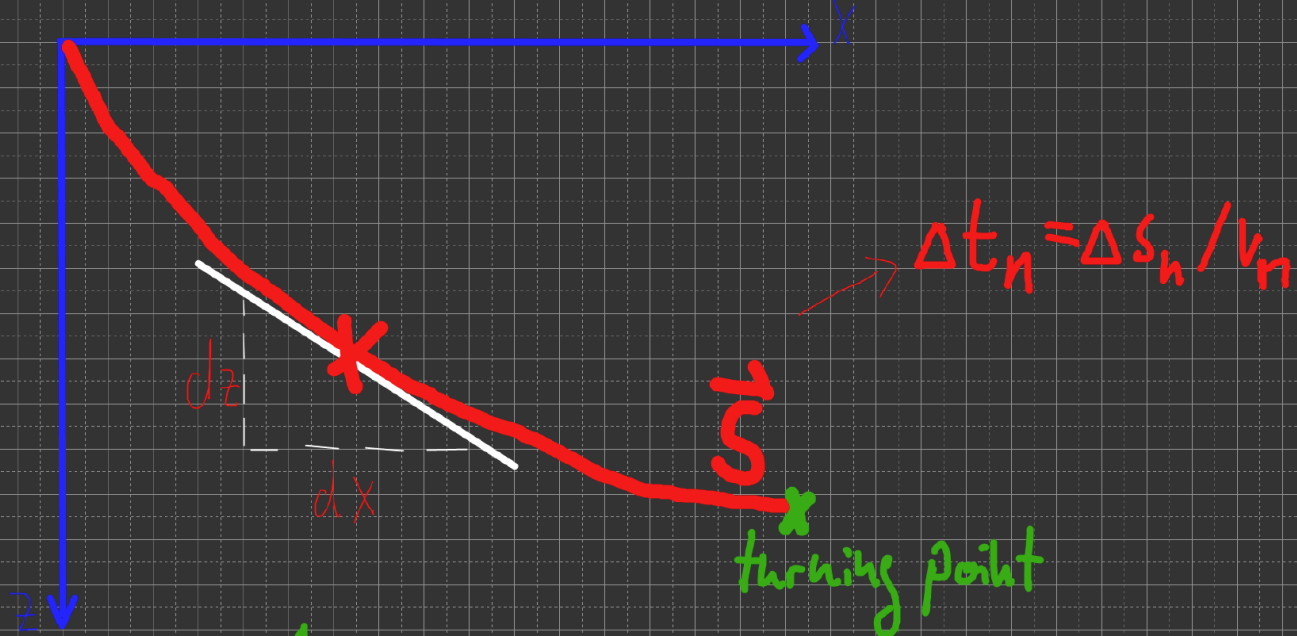


- Wiechert-Herglotz inversion:

Propagation in the case of velocity  $v = f(z)$  increasing monotonously with depth  $z$ .



Ray path vor  $v$  increasing monotonously with  $f(z)$



$$\Delta t_n = \Delta s_n / v_n$$

turning point

$$V_{tp} = \frac{1}{p} \text{ with}$$

ray path parameter  $p = \frac{\sin \theta_1}{v_1}$

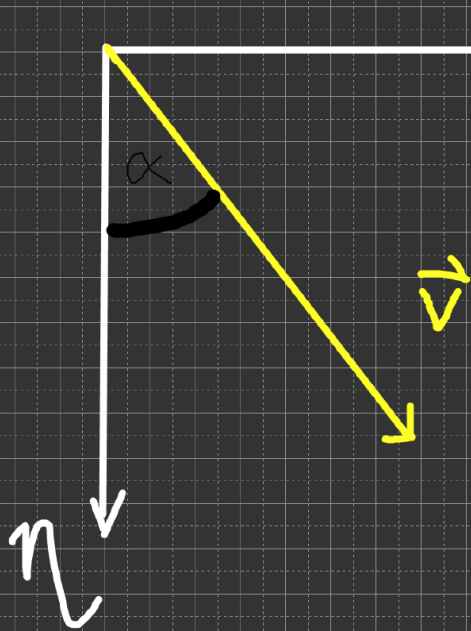


to compute the state of the system  
at a later time OR depth ( $ds$ ):

$$x_{\text{expl}} = x_0 + \frac{p}{\eta} \cdot ds$$

with  $\eta$  = vertical slowness  $\Rightarrow$

$$t_{\text{expl}} = t_0 + ds \cdot \frac{v_{\text{expl}}^{-1}}{\sqrt{1 - p^2 \cdot v_{\text{expl}}^2}}$$



$$ds = \frac{dz}{\cos \alpha}$$

$$\rho = \frac{\sin \alpha}{v}$$

$$\eta = \frac{\cos \alpha}{v}$$